REQUEST FOR PROPOSALS (RFP) #F20-7039-34B
TRANSIT MASTER & AUTOMATIC PASSENGER COUNTER UPGRADE

Date: May 22, 2020

Contact: Tamika McDonald
Procurement Supervisor

Telephone: (816) 346-0283

Fax: (816) 346-0336

Email: tmcdonald@kcata.org
May 22, 2020

REQUEST FOR PROPOSALS (RFP) #F20-7039-34B
TRANSIT MASTER & AUTOMATIC PASSENGER COUNTER UPGRADE

The Kansas City Area Transportation Authority (KCATA) is requesting proposals for hardware procurement, professional installation services, and software to upgrade the existing Trapeze TransitMaster Vehicle Login Units (VLUs) and to add Automatic Passenger Counter (APC) hardware as needed to enable fleet-wide automatic passenger counting.

The selected firm’s project staff and proposed team must include professionals who have demonstrated qualifications and experience in the specific disciplines identified in this RFP.

This project is funded by Federal Transportation Administration (FTA) grants. The Authority has set a goal of 0% participation by certified Disadvantaged Business Enterprise (DBE) firm(s) for this project. Certified DBE firms are encouraged to submit proposals as Prime Contractors, Subcontractors or as a joint venture. Firms must be certified as a DBE by a member of the Missouri Regional Certification Committee, which includes KCMO, MoDOT, City of St. Louis, Metro in St. Louis or KCATA. A list of certified firms may be found at. MBE and WBE certifications from other agencies will not be counted toward DBE participation. For further information on this subject contact Mr. Whitney Morgan, KCATA’s DBE/Grants Specialist, at (816) 346-0277 or wmorgan@kcata.org.

Disadvantaged, Minority, Woman and Small Business Enterprises (DBEs, MBEs, WBEs and SBEs) are encouraged to submit proposals as Prime Contractors, Subcontractors or as a joint venture. However, because this project is not federally funded there is no DBE participation goal. For further information on DBE and SBE certification, contact Mr. Whitney Morgan, KCATA’s DBE/Grants Specialist, at (816) 346-0277 or wmorgan@kcata.org.

Questions (technical, contractual, or administrative) must be directed in writing via email to Tamika McDonald at tmcdonald@kcata.org. Questions and requests for clarifications will be received until 2:00 p.m. June 5, 2020. If required, KCATA’s response to these submissions will be in the form of an Addendum that will released on June 12, 2020 by the end of business.

Submission of a proposal shall constitute a firm offer to the KCATA for one hundred twenty (120) days from the date of closing. This RFP does not commit the KCATA to award a contract, to pay any cost incurred in preparation of a proposal, or to procure or contract for services. Proposer shall read and understand the requirements of this proposal covered in the sections listed under the Table of Contents of this document.

The KCATA reserves the right to accept or reject any or all proposals received, to interview or negotiate with any qualified individual or firm, to modify this request, or cancel in part or in its entirety the RFP if it is the best interest of the KCATA.

Following an initial review and screening of all timely and responsive proposals, highly qualified Proposers may be invited to interviews as necessary at their own expense. Those selected Proposers will be informed as to exact date and time if invited for interviews and discussion. Proposers may also be required to submit written responses to questions regarding their proposals. All contractual agreements are subject to final approval by the Kansas City Area Transportation Authority’s Board of Commissioners. A fixed price contract term is anticipated for award to the selected and approved Proposer if negotiations are successful.
Proposals must be received with all required submittals as stated in the RFP no later than 2:00 p.m. on June 26, 2020 to the following FTP site:

Name: Transit Master and Automatic Passenger Counter Upgrade  
Number: F20-7039-34B  
Site URL: https://kcata.sharepoint.com/sites/FTP/pro/tmapc/default.aspx  
Bidder email: bid_F20-7039-34B  
Bidder Password: vc9H+x@mWg (victor - charlie - Nine - HOTEL - Plus - x-ray - At - mike - WHISKEY - golf)  
Contact Name: Tamika McDonald  
Email Address: TMcDonald@kcata.org  
Phone Number: 816-346-0283

Proposals received after the time specified shall not be considered for award. Proposals received via facsimile (fax) or electronic mail (e-mail) shall not be considered. Proposals not meeting specified delivery and method of submittal will not be opened nor considered responsive.

No person or entity submitting a proposal in response to this RFP nor any officer, employee, agent, representative, relative or consultant representing such a person (or entity) may contact through any means, or engage in any discussion concerning the award of this contract with any member of KCATA’s Board of Commissioners or any employee of KCATA (excluding Procurement staff) during the period beginning on the date of proposal issue and ending on the date of the selection of a Contractor. Any such contact would be grounds for disqualification of the Proposer.

Kristen Emmendorfer  
Interim Director of Procurement
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RFP #F20-7039-34B
KANSAS CITY AREA TRANSPORTATION AUTHORITY (KCATA)
TRANSIT MASTER & AUTOMATIC PASSENGER COUNTER UPGRADE

To assist KCATA in obtaining good competition on its Requests for Proposals, we ask that if you received an invitation but do not wish to propose, please state the reason(s) below and return this form to Tamika McDonald, KCATA’s Procurement Department, 1350 East 17th Street, Kansas City, MO 64108. This form may also be submitted via facsimile at (816) 346-0336 or email at tmcdonald@kcata.org.

This information will not preclude receipt of future invitations unless you request removal from the Proposer’s List by so indicating below.

Unfortunately, we must offer a “No Proposal” at this time because:

_____ 1. We do not wish to participate in the proposal process.

_____ 2. We do not wish to propose under the terms and conditions of the Request for Proposal document. Our objections are:

________________________________________________________________________

________________________________________________________________________

_____ 3. We do not feel we can be competitive.

_____ 4. We do not provide the services on which Proposals are requested.

_____ 5. Other: ______________________________________________________________________

_____ We wish to remain on the Proposer’s list for these services.

_____ We wish to be removed from the Proposer’s list for these services.

FIRM NAME

SIGNATURE
SECTION 1
PROPOSAL CALENDAR

RFP Advertised and Issued ........................................................................................................ May 22, 2020

Questions, Comments and Requests for Clarifications Due to KCATA ........................................ June 5, 2020

KCATA’s Response to Questions, Comments and Requests for Clarification ............................. June 12, 2020

RFP Closing ................................................................................................................................ July 3, 2020

Interviews (Tentative and as Required) ...................................................................................... July 16-17, 2020

Contract Award/Notice to Proceed ............................................................................................. September 2020
SECTION 2
SCOPE OF WORK

2.1 Introduction

A. The Kansas City Area Transportation Authority (KCATA) is the regional transit authority for the Kansas City metropolitan area, operating bus, MAX bus rapid transit, and paratransit services throughout the region; providing approximately 15 million passenger trips annually; managing transit services in Johnson County, Kansas and in the City of Independence and coordinating transit activities throughout the region under the RideKC brand.

2.2 Term of Contract

The term of this agreement shall be for a period of five (5) years from contract award with no options to renew. Work in process prior to expiration of the Agreement shall be completed and as construed by KCATA to be within the “contract term.”

2.3 Project Overview

A. The Kansas City Area Transportation Authority (KCATA) is requesting proposals for hardware procurement, professional installation services, and software to upgrade the existing Trapeze TransitMaster Vehicle Login Units (VLUs) and to add Automatic Passenger Counter (APC) hardware as needed to enable fleet-wide automatic passenger counting.

B. KCATA currently utilizes Trapeze TransitMaster Intelligent Transportation System (ITS) version 15.1.18.3 (an upgrade to current version is scheduled for Fall 2020). The current in-vehicle TransitMaster hardware configuration includes three (3) different iVLU models – Rev-E, VI, and V8. This project includes upgrading a significant portion of the Rev-E and VI units to V8. Full integration of passenger counter technology is required for the current software version (15.x) and for the VI and V8 in-vehicle hardware. The end result of this project will include a mix of VI and V8 VLUs. The project will include replacement or decommissioning of all remaining Rev-E VLU’s.

C. Additionally, KCATA currently operates two different models of UTA APCs and one model of IRMA MATRIX APCs. This project will replace all older UTA APCs and equip the remainder of the fleet with new IRMA MATRIX (or approved equal) APCs.

D. KCATA desires to maximize previous hardware investments by reclaiming a portion of the upgraded VI VLUs and the UTA APCs and installing them in older vehicles. The use of repurposed VLUs and APCs is summarized in the Systems Implementation and Integration Services section of this RFP.

E. KCATA currently operates 260 Fixed Route buses (including contingency vehicles). “Flex Busses” are Ford F-450 Cutaways. All remaining busses are manufactured by Gillig and include a mix of ITS hardware configurations as follows:

<table>
<thead>
<tr>
<th>Quantity</th>
<th>Bus Size/Type</th>
<th>Trapeze iVLU</th>
<th>APC</th>
</tr>
</thead>
<tbody>
<tr>
<td>42</td>
<td>Large/BRT 40’</td>
<td>V8</td>
<td>IRMA MATRIX</td>
</tr>
<tr>
<td>19</td>
<td>Large Bus 40’</td>
<td>Rev-E</td>
<td>N/A</td>
</tr>
</tbody>
</table>
F. Key outcomes/goals for this project include:
   • 100% of the fleet equipped with Automatic Passenger Counters
   • Full integration of APCs with Trapeze TransitMaster.
   • Wireless, automated data capture of all passenger count information. (i.e. no manual process for retrieving data from vehicles).
   • Upgrade or decommission of all Rev-E generation iVLU hardware to current model (V8).
   • Process improvements and efficiencies related to collecting and analyzing ridership data, including software tools to aid in the reporting process.
   • Real-Time (or near real-time) reporting capabilities for ridership and improved overall reporting capabilities.

G. It is desired to have the implementation completed by December 31, 2020. This schedule is subject to negotiation and discussions with the Proposers, any alternate implementation schedule must be submitted with the proposal.

2.4 Hardware

A. Trapeze TransitMaster:
   1. KCATA desires procurement of **139** new Trapeze TransitMaster V8 VLUs with Touch MDTs with all applicable wiring, converters, and connectors to accomplish the following upgrades:
      a. Upgrade **109** VI VLUs to the new V8 VLU with Touch MDTs.
      b. Upgrade **30** Rev-E VLUs to the new V8 VLU with Touch MDTs

B. IRMA MATRIX (or approved equal) Automatic Passenger Counter:
   1. KCATA desires procurement of the required hardware to equip **72** busses with IRMA MATRIX (or approved equal) APCs with all applicable sensors, wiring, converters, and connectors to accomplish the following installations and integrations:
      a. **70** new IRMA MATRIX APCs connected to new Trapeze TransitMaster V8 VLUs in a 2-door, 29’ or 40’ bus.
      b. **2** new IRMA MATRIX APCs connected to existing Trapeze TransitMaster VI VLUs in a single door, Ford F-450 cutaway.

C. Wiring, Connectors, etc. as required to replace **42** Trapeze TransitMaster Rev-E VLUs with TransitMaster VI VLUs that are reclaimed from existing vehicles that will be upgraded to TransitMaster V8 VLUs.
D. Wiring, Connectors, etc. as required to install **30** UTA Passenger counters that are reclaimed from existing vehicles into vehicles that do not currently have any passenger counter hardware installed.

### 2.5 Systems Implementation and Integration Services

**A. KCATA Desires implementation services required to upgrade legacy fixed route in-vehicle TransitMaster equipment and to install new Automatic Passenger Counters on the existing fleet. This implementation can be summarized in the following scenarios:**

1. Upgrade TransitMaster Rev-E VLU to repurposed VI VLU and install repurposed UTA APC hardware.
2. Upgrade TransitMaster Rev-E VLU to V8 VLU and install new APC hardware.
3. Upgrade TransitMaster Rev-E VLU to repurposed VI VLU and upgrade existing old UTA APC with repurposed UTA APC hardware.
4. Upgrade TransitMaster Rev-E VLU to repurposed VI VLU and connect to existing UTA APC hardware.
5. Upgrade TransitMaster Rev-E VLU to V8 VLU and upgrade existing UTA APC with new APC hardware.
6. Install new APC hardware to existing TransitMaster VI VLU.
7. Upgrade TransitMaster VI VLU to V8 VLU and replace existing UTA APC with new APC hardware.
8. Upgrade TransitMaster VI VLU to V8 VLU and connect to existing IRMA Matrix APC hardware.

<table>
<thead>
<tr>
<th>CURRENT STATE</th>
<th>NEW STATE</th>
<th>HARDWARE REQUIRED</th>
</tr>
</thead>
<tbody>
<tr>
<td>ITS APC TOTAL</td>
<td>ITS APC</td>
<td>New V8</td>
</tr>
<tr>
<td>Rev-E None 12</td>
<td>VI UTA</td>
<td>12</td>
</tr>
<tr>
<td>Rev-E None 28</td>
<td>V8 Matrix</td>
<td>28</td>
</tr>
<tr>
<td>Rev-E UTA(M) 18</td>
<td>VI UTA</td>
<td>18</td>
</tr>
<tr>
<td>Rev-E UTA 12</td>
<td>VI UTA</td>
<td>12</td>
</tr>
<tr>
<td>Rev-E UTA 2</td>
<td>V8 Matrix</td>
<td>2</td>
</tr>
<tr>
<td>VI None 2</td>
<td>V8 Matrix</td>
<td>2</td>
</tr>
<tr>
<td>VI UTA 40</td>
<td>V8 Matrix</td>
<td>40</td>
</tr>
<tr>
<td>VI Matrix 69</td>
<td>V8 Matrix</td>
<td>69</td>
</tr>
</tbody>
</table>

### 2.6 Requirements and expectations;

1. **Project Manager:** Bidder will provide a project manager that serves as the centralized point of contact for the project. The Project Manager is responsible for coordination of scheduling and delivery of all vendor services in alignment with the project plan.

2. **Vehicle Engineer & Field Service Technician:** Vendor will provide the certified technical resources responsible for providing in-vehicle TransitMaster equipment and cabling installation expertise. And certified resources responsible for the installation of the IRMA Matrix (or approved equal) APCs.

3. **Project Kick-Off**
Following contract execution, vendor and KCATA will hold a project kick-off meeting via conference call to align stakeholders on project scope and timelines. Project teams from both vendor and KCATA are expected to join the kick-off meeting to discuss and define the implementation schedule and review vendor and KCATA resource commitments and agree on an implementation schedule.

4. **Vehicle Survey**
   Vendor will conduct an on-site vehicle survey with KCATA maintenance staff to discuss and document the approach for the in-vehicle upgrades and determine best fit, appropriate power, and antennae cabling solutions.

5. **Vehicle Drawings and Approved Vehicle Acceptance Procedures**
   Vendor will use the knowledge collected during vehicle surveys to generate vehicle installation drawings for approval by KCATA. Design drawings will account for all required onboard fixed route vehicle subsystems. The proposed onboard subsystems include but are not limited to integration with the UTA or IRMA Matrix APC, odometer signal, door discrete, voice radio, handsets, ACG internal and external microphones, external audio, internal next stop sign and destination sign.

6. **Vehicle Installation**
   Vendor will provide installation services for all in-vehicle fixed route installations as required by this RFP, including decommissioning of the existing equipment. KCATA will provide access to vehicles as agreed in the final project schedule.

7. **Testing and Sign-Off**
   Vendor must identify a comprehensive testing and sign-off process and work with appropriate KCATA staff to complete acceptance testing of all hardware installations. Following resolution of any major defects or issues, vendor will provide a 30-day monitoring period and will resolve any new issues that are discovered during that time prior to final sign-off.

8. **Warranty and Support**
   Vendor must identify warranty and support coverage for all new hardware, installation services, and integration with Trapeze TransitMaster Software.

### 2.6 Automatic Passenger Counter (APC) Software

**A.**
The primary goal of this project is to equip the entire KCATA fleet of revenue vehicles with Automatic Passenger Counting capabilities. To accomplish this goal and to effectively switch from farebox based passenger counts to APC data, KCATA desires a robust software solution that can collect, clean, aggregate, and format the passenger count data collected from both existing UTA and new IRMA Matrix (or approved equal) passenger counters; and to provide exceptional reporting capabilities.

**B. Software Features:**
1. Collect ridership and schedule adherence data
2. Perform analysis
3. Create reports
4. Perform demand forecasts
5. Clean incoming APC data
6. Validate and normalize data against the schedule
7. Clean and load balance data in accordance with NTD approved methods
8. Provide all relevant NTD reports
9. Provide data for use in scheduling and planning activities
10. Integration with Trapeze TransitMaster
SECTION 3.
PROPOSAL INSTRUCTIONS

3.1 General Information

A. The terms “solicitation” and “Request for Proposals” and “RFP” are used interchangeably, and the terms “offer” and “proposal” are used interchangeably. The terms “Proposer,” “Contractor” and “Offer or” are also used interchangeably.

B. Interested firms may submit proposals until 2:00 p.m. on July 3, 2020. Proposals received after the time specified may not be considered for award. Proposals received via facsimile (fax) or electronic mail (e-mail) will not be considered. Proposals must be submitted to the following FTP site:

Name: Transit Master and Automatic Passenger Counter Upgrade
Number: F20-7039-34B
Site URL: https://kcata.sharepoint.com/sites/FTP/pro/tmapc/default.aspx
Bidder email: bid_F20-7039-34B
Bidder Password: vc9H+x@mWg (victor-charlie-nine-HOTEL-Plus-x-ray-At-mike-WHISKEY-golf)
Contact Name: Tamika McDonald
Email Address: TMcDonald@kcata.org
Phone Number: 816-346-0283

C. In cases where communication is required between Proposers and the KCATA, such as requests for information, instruction, and clarification of specifications, such communication shall be forwarded in writing directly to Tamika McDonald at tmcdonald@kcata.org by the indicated deadline. The subject line of electronic communications must reference the RFP number and title.

D. Submitting a proposal constitutes a firm offer to KCATA for one hundred twenty (120) days from the closing date.

E. KCATA is not responsible for any cost or expense that may be incurred by the Proposer before the execution of a contract, including costs associated with preparing a proposal or interviews.

3.2 Reservations

A. KCATA reserves the right to waive informalities or irregularities in proposals, to accept or reject any or all proposals, to cancel this RFP in part or in its entirety, and to re-advertise for proposals if it is in the best interest of the Authority. KCATA shall be the sole judge of what is in its best interest with respect to this RFP.

B. KCATA reserves the right to make multiple awards if it is in the best interest of the Authority.

C. KCATA also reserves the right to award a contract solely on the basis of the initial proposal without interviews or negotiations. Therefore, offers should be submitted to KCATA on the most
favorable terms possible, from a technical standpoint.

3.3 **Proposer’s Responsibilities**

A. By submitting a proposal, the Proposer represents that:

1. The Proposer has read and understands the RFP and the proposal is made in accordance with the RFP requirements and instructions;

2. The Proposer possesses the capabilities, resources, and personnel necessary to provide efficient and successful service to KCATA; and

3. It is authorized to transact business in the State of Missouri.

B. Before submitting a proposal, the Proposer should make all investigations and examinations necessary to ascertain site or other conditions and requirements affecting the full performance of the contract.

3.4 **Authorization to Propose**

If an individual doing business under a fictitious name makes the proposal, the proposal should so state. If the proposal is made by a partnership, the full names and addresses of all members of the partnership must be given and one principal member should sign the proposal. If a corporation makes the proposal, an authorized officer should sign the proposal in the corporate name. If the proposal is made by a joint venture, the full names and addresses of all members of the joint venture should be given and one authorized member should sign the proposal.

3.5 **Withdrawal & Incomplete Proposals**

A. Proposals may be withdrawn upon written request received by KCATA before proposal closing. Withdrawal of a proposal does not prejudice the right of the Proposer to submit a new proposal, provided the new proposal is received before the closing date.

B. Incomplete proposals may render the proposal non-responsive.

3.6 **Modification of Proposals**

Any proposal modifications or revisions received after the time specified for proposal closing may not be considered.

3.7 **Unbalanced Proposals**

KCATA may determine that an offer is unacceptable if the prices proposed are materially unbalanced. An offer is materially unbalanced when it is based on prices significantly less than cost for some work and prices which are significantly overstated in relation to cost for other work.

3.8 **Protests**

A. The following protest procedures will be employed for this procurement. For the purposes of these procedures, “days” shall mean business days of KCATA administrative personnel which are
days other than a Saturday, Sunday or legal holiday observed by KCATA for such administrative personnel.

1. **Pre-Submittal.** A pre-submittal protest is received prior to the proposal due date. Pre-submittal protests must be received by the Authority, in writing and addressed to KCATA’s Director of Procurement, no later than five (5) days before the bid closing date.

2. **Post-Submittal/Pre-Award.** A post-submittal/pre-award protest is a protest against making an award and is received after receipt of proposals but before award of a contract. Post-submittal protests must be received by the Authority, in writing and addressed to the KCATA’s Director of Procurement, no later than five (5) days after the bid closing date.

3. **Post-Award.** Post-Award protests must be received by the Authority, in writing and addressed to KCATA’s Director of Procurement, no later than five (5) days after the date of the Notice of Intent to Award.

   B. KCATA’s Director of Procurement shall respond in writing within five (5) days from the date of the written request. If the protester is not satisfied with the response of the Director of Procurement, the protester may appeal in writing to KCATA’s Chief Financial Officer within five (5) days from the date of the Director of Procurement’s response.

   C. The Chief Financial Officer will decide if the protest and the appeal (if any) have been given fair and reasonable consideration, or if additional consideration is warranted. The Chief Financial Officer’s response will be provided within ten (10) days after receipt of the request. The Chief Financial Officer’s decision is final and no further action on the protest shall be taken by the KCATA.

   D. By written notice to all parties, KCATA’s Director of Procurement may extend the time provided for each step of the protest procedures, extend the date of notice of award, or postpone the award of a contract if deemed appropriate for protest resolution.

   E. Protesters shall be aware of the Federal Transit Administration’s (FTA) protest procedures with the FTA Regional Office (ref: FTA Circular 4220.1F) If federal funding is involved, FTA will review protests from a third party only when: 1) a grantee does not have a written protest procedure or fails to follow its procedure or fails to review a complaint or protest; or 2) violations of specific federal laws or regulations have occurred.

   F. An appeal to FTA must be received by FTA’s regional office within five (5) working days of the date the protester learned or should have learned of KCATA’s decision. Protest shall be addressed to: Regional Administrator, FTA Region 7, 901 Locust, Room 404, Kansas City, Missouri, 64106.

3.9 **Disclosure of Proprietary Information.**

   A. A proposer may restrict the disclosure of scientific and technological innovations in which it has a proprietary interest, or other information that is protected from public disclosure by law, which is contained in the proposal by:

   1. marking each page of each such document prominently in at least 16-point font with the words “Proprietary Information;”
2. printing each page of each such document on a different color paper than the paper on which the remainder of the proposal is printed; and

3. segregating each page of each such document in a sealed envelope, which shall prominently display, on the outside, the words “Proprietary Information” in at least 16-point font, along with the name and address of the Proposer.

B. After either a contract is executed pursuant to this RFP, or all proposals are rejected, the proposals will be considered public records open for inspection. If access to documents marked “Proprietary Information,” as provided above, is requested under the Missouri Sunshine Law, Section 610 of the Revised Statutes of Missouri, the KCATA will notify the Proposer of the request and the Proposer shall have the burden to establish that such documents are exempt from disclosure under the law. Notwithstanding the foregoing, in response to a formal request for information, the KCATA reserves the right to release any documents if the KCATA determines that such information is a public record pursuant to the Missouri Sunshine Law.

3.10 Disadvantaged Business Enterprise (DBE)

A. It is the policy of KCATA and the United States Department of Transportation (USDOT) that Disadvantaged Business Enterprises (DBE’s) and Small Business Enterprises (SBE’s), as defined herein and in the Federal regulations published as 49 CFR Part 26, shall have an equal opportunity to participate in DOT-assisted contracts. It is also the policy of KCATA to:

1. Ensure nondiscrimination in the award and administration of DOT-assisted contracts;

2. Create a level playing field on which DBE’s/SBE’s can compete fairly for DOT-assisted contracts;

3. Ensure that the DBE program is narrowly tailored in accordance with applicable law;

4. Ensure that only firms that fully meet 49 CFR Part 26 eligibility are permitted to participate as DBE’s;

5. Help remove barriers to the participation of DBE’s in DOT assisted contracts;

6. To promote the use of DBE’s in all types of federally assisted contracts and procurement activities; and

7. Assist in the development of firms that can compete successfully in the marketplace outside the DBE program.

B. Non-discrimination. Proposers shall not discriminate on the basis of race, color, creed, age, sex, sexual orientation, gender identity, national origin or disability in the performance of this project. The Proposer shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the Proposer to carry out these requirements is a material breach of the resulting contract, which may result in the termination of the contract or such other remedy as KCATA deems appropriate.

C. This Contract is subject to the Requirements of Title 49, Code of Federal Regulations Part 26,
Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs. **There is a 0% DBE goal established for this project.** Certified DBE firms are encouraged to submit proposals as prime or subcontractors. Firms must be certified as a DBE by a member of the Missouri Regional Certification Committee, which includes KCMO, MoDOT, City of St. Louis, Metro in St. Louis or KCATA or by Kansas Department of Transportation (KDOT). A list of certified firms may be found at [www.modot.org/mrcc-directory](http://www.modot.org/mrcc-directory). A directory of KDOT certified firms may be found at [https://kdotapp.ksdot.org/dbecontractorlist/](https://kdotapp.ksdot.org/dbecontractorlist/). MBE and WBE certifications from other agencies will not be considered.

Because this project is not federally funded, there is no DBE goal established. However, certified DBE, MBE, WBE and SBE firms are encouraged to submit proposals as prime or subcontractors.

D. **DBE Certification.** DBE/SBE firms may participate as prime Contractors, subcontractors or suppliers. KCATA will only recognize firms that are certified as DBEs/SBEs under the DOT guidelines found in 49 CFR Part 26. Firms must be certified as a DBE/SBE by a member of the Missouri Regional Certification Committee, which includes KCMO, MoDOT, City of St. Louis, Metro in St. Louis or KCATA. A list of certified firms may be found at [https://www.modot.org/mrcc-directory](https://www.modot.org/mrcc-directory) located on MoDOT’s website.
SECTION 4.
PROPOSAL SUBMISSION, EVALUATION AND AWARD

The intent of the RFP is to encourage submittals that clearly communicate the consultants’ qualifications for the Project. Proposals should provide information in a concise, and well written, well organized manner containing only information relevant to this Project. All proposals should follow the format specified below as this will assist the evaluation committee in determining the most highly qualified consultant team. Firms are encouraged to submit only proposal material that is relative to the consultant services and scope cited. Including extra marketing materials and publications is discouraged.

4.1 Proposal Format

A. The originals of all Volumes shall be submitted electronic to the stated FTP site. Volumes I, II, and III shall be originals and shall have the RFP number and name, the Offeror’s identity, volume number and volume title printed on the cover page.

B. Volumes shall be submitted in the following order:

1. **Volume I: Cost Proposal** – One (1) **original**

2. **Volume II - Technical Proposal**. One (1) **original**

3. **Volume III – Contractual**. One (1) original of the completed, signed submittals as specified in Section 4.4 below and in Attachment B, “Proposal Checklist.”

C. Proposers are asked to submit a complete copy of their proposal (Volumes I, II and III) in .pdf format which will be retained by KCATA. Each volume shall be a separate pdf document, and the shall not be password protected.

F. The proposal package shall be submitted to the following FTP site:

Name: Transit Master and Automatic Passenger Counter Upgrade  
Number: F20-7039-34B  
Site URL: [https://kcata.sharepoint.com/sites/FTP/pro/tmapc/default.aspx](https://kcata.sharepoint.com/sites/FTP/pro/tmapc/default.aspx)  
Bidder email: bid_F20-7039-34B  
Bidder Password: vc9H+x@mWg (victor - charlie - Nine - HOTEL - Plus - x-ray - At - mike - WHISKEY - golf)  
Contact Name: Tamika McDonald  
Email Address: TMcDonald@kcata.org  
Phone Number: 816-346-0283

G. The outside of each package shall be clearly marked, “RFP #F20-7039-34B Transit Master and Automatic Passenger Counter Upgrade.”

H. Proposals may be hand delivered, sent via overnight carrier, or mailed via USPS. Electronic or faxed copies will not be accepted.
I. All hand deliveries must be made through KCATA’s Shipping/Receiving Department. Please allow ample time to navigate through KCATA’s secured entrance and parking areas.

4.2 Volume I – Cost Proposal

A. Proposers are asked to submit a Cost/Price Proposal (Attachment D-1 & D-2) that details all costs associated with the purchase hardware, software licenses, required annual maintenance and support fees, and completion of the implementation and integration services as described in Section 2, “Scope of Services.”

B. KCATA anticipates awarding a firm fixed price contract.

C. Proposer must provide this information for all subconsultants proposed for this Agreement at the time of submission deadline.

D. Travel expenses, if any, must be approved in advance by KCATA and will be based on KCATA’s Travel Policy for Contractors (Attachment C).

E. The Cost/Price Proposal shall be submitted in a separate, sealed envelope and labeled as such. No price information is to be included in the Technical Proposal.

F. The costs/prices included in the cost/price proposal must be fair and reasonable and should include all items of labor, materials, and other costs necessary to perform the contract. Any items omitted from this RFP which are clearly necessary for the completion of the work being proposed should be considered part of the work though not directly specified or called for in this RFP.

4.3 Volume II - Technical Proposal

A. The Technical Proposal page limit is 30 pages. The Proposer may choose to allocate pages between any of the evaluation criteria as long as the Proposal does not exceed 30 pages. If a Proposer submits a proposal exceeding this limit, KCATA will consider the pages up to the allowable number and discard all subsequent pages.

B. One page is defined as one side of a single, 8-1/2 x 11” page, with 11-point minimum font size for the substantive text. Any page over this size will be counted as two (2) pages. Any page or partial page with substantive text, tables, graphics, charts, résumés, etc. will be counted as one (1) page. Proposers may use their discretion for the font size of other materials (e.g. graphics, charts).

C. The following are excluded from the page count:

- Title Page
- Table of Contents
- Letter of Transmittal
- Tabs or Indices
- Additional Lists of References
- Resume and background information (please do not include any more than 3 pages per individual)
D. Each technical proposal should enable the evaluation committee to make a thorough evaluation and arrive at a sound determination that the proposal meets KCATA’s requirements. Each technical proposal must be so specific, detailed and complete as to clearly and fully demonstrate that the Proposer has a thorough knowledge and understanding of the requirements and has valid and practical solutions for technical problems. Statements which paraphrase the requirements or state that “standard procedures will be employed” are inadequate to demonstrate how the Proposer will comply with the requirements of this procurement.

E. To achieve a uniform review process and obtain the maximum degree of compatibility, technical proposals must be organized as follows:

1. **Letter of Transmittal**

   The letter should be addressed to Tamika McDonald, Procurement Supervisor, and signed by a corporate officer with authority to bind the firm. The letter must contain the following:

   a. Name of lead firm and all proposed team members, including all sub-consultants

   b. List of key personnel that will be associated with this project

   c. Proposed working relationship among firms identified (i.e., Prime, Sub-consultant)

   d. Acknowledgement of Receipt of Addenda (if any)

   e. A statement that the Principal in Charge and the key Individuals identified in the Proposal will be available and committed to the Project for its duration and that none of the neither the project manager of key personnel be removed or replaced without the prior approval of KCATA.

   f. Briefly state the firm’s understanding of the services to be performed and make a positive commitment to provide services and specified

2. **Title Page.** Show the RFP Number and title, the name of the firm, address, telephone number(s), email address, fax number(s) and date.

3. **Table of Contents.** Clearly identify the materials submitted by section and page number.

4. **General Business Background.** Provide a brief synopsis of the Proposer’s and major sub-contractors businesses, including when and where incorporated, major business activities, and a listing of the Officers of the Company. State whether the firm is local, regional or national and how long the firm has been in existence under current ownership/management and where the offices are located. Identify and state how long the firm has provided the types of services requested in this RFP. Proposers and sub-consultants shall provide financial status and credit worthiness statement. This may be a current Dunn and Bradstreet Rating or two most recent years of audited financial statements.
5. **Proposal Content**

The following will be considered minimum contents of the proposal and must be submitted in the order listed:

a. **Contact Information:** Proposer’s must clearly identify the company’s full, legal name, business address, the contact person’s name, telephone number, fax number and email address (as available).

b. **Project Understanding:** Proposer’s must provide a statement of the objectives, goals and tasks to show or demonstrate their view of the nature of the Contract.

c. **Background and Experience (Company and Personnel):** Proposer’s must provide an outline of their background and experience, with examples of similar work done and a list of personnel who will conduct the project, detailing their training and work experience. No change in personnel assigned to the project will be permitted without the written approval of KCATA’s Project Manager.

d. **Detailed Work Plan:** Proposer’s must provide a detailed work plan, which must identify the major tasks to be accomplished. Implementation schedule. These tasks will be used as a scheduling and management tool, as well as the basis for invoicing. The work plan must present the Proposer’s approach, task breakdown, deliverable due dates and personnel working on the project and the hours assigned to each individual to reach the project results.

e. **Detailed Deliverables:** Proposer’s must provide a description of the deliverables to be provided.

f. **KCATA Participation:** Proposer’s must clearly identify the level of KCATA’s participation that will be needed in the Contract, as well as any other services to be provided by KCATA and details of cost allowances for this participation.

6. **Support and Maintenance:** KCATA recognizes the value in forming a long-term partnership related to the ongoing maintenance and support of the hardware and software provided. Provide a detailed description of the following services:

a. Technical Support (including all support options for a 24X7 operation).

b. Software upgrade procedures, including typical schedule of major new releases.

c. Escalation procedures
d. Technical proficiency of support staff personnel.

7. **Exception and Omissions.**

a. **Exceptions.**

(1) The proposal should clearly identify any exceptions to the requirements set forth in this RFP.

(2) Proposers should also review the sample terms and conditions (Attachment B) and identify any exceptions to the clauses included therein. Any exceptions
to the Terms and Conditions must be provided in the Proposal documents. The Proposers submittal may be considered non-responsive in the event KCATA and Proposer do not reach mutual agreement on any exceptions noted.

b. Omissions. The Contractor will be responsible for providing all services which are necessary within the general parameters described in this RFP, and consistent with established industry practices, regardless of whether those services are specifically mentioned in this RFP or not. The Proposer should clearly identify any omissions to the requirements set forth in the RFP.

8. **Subcontractor Utilization Plan.**

a. Subcontractors must be approved by KCATA prior to contract award. If applicable, Proposers shall provide the following information regarding unaffiliated firms that will perform a portion of the work.

- Company name
- Address
- Contact person and title
- Telephone number, facsimile number and email address
- Indicate if an affiliate or subsidiary of another firm and provide details
- Date business was established and number of years under present ownership/management
- Services to be performed on this project
- Resumes indicating experience, education, licenses and certifications of key personnel that will be involved in this project
- Provide up to five (5) current, relevant references for contracts performing similar work. Include contract amount, contract start/end dates, type of services performed, assigned Project Manager and other key personnel.

b. Include the following signed and dated certification statement:

> “I certify that each subcontractor has been notified that it has been listed in this proposal and that each subcontractor has consented, in writing, to its name being submitted for this RFP. Additionally, I certify that I shall notify each subcontractor in writing if the award is granted to my firm, and I will make all documentation available to KCATA upon request.”

4.4 **Volume III – Contractual**

B. **Financial Condition of the Firm.** In this section the Proposer must submit information demonstrating that it is financially sound and has the necessary financial resources to perform the contract in a satisfactory manner. The Proposer is required to permit KCATA to inspect and examine its financial statements. The Proposer shall submit two (2) years of the firm’s most recent audited financial statements. If audited statements are not available, please provide two (2) years of its most recent unaudited annual financial statements if available. These statements consist of Statement of Financial Position (Balance Sheet), Results of Operations (Income Statement), Statement of Cash Flow, and Statement of Retained Earnings, and applicable footnotes. Supplementary financial information may be requested as necessary. Financial statements from subcontractors are not required.
C. **Disclosure of Investigations/Actions.** Proposer must provide a detailed description of any investigation or litigation, including administrative complaints or other administrative proceedings, involving any public-sector clients during the past five (5) years including the nature and status of the investigation, and, for any litigation, the caption of the action, a brief description of the action, the date of inception, current status, and, if applicable, the disposition.

D. **Proposer Status and Affirmative Action**

1. All firms (prime contractors, subcontractors and suppliers) doing business with KCATA must complete a vendor registration process. KCATA uses a secure online vendor management system (B2GNow). Confidential information (Tax ID, etc.) will not be published. *Vendors that have previously registered with KCATA must now also complete the online process with updated information.* Vendors will only need to register once but will be required to submit updated certifications/affidavits on a regular basis.

2. To begin, you must set up an account at [https://kcata.diversitycompliance.com](https://kcata.diversitycompliance.com) where you will be given a temporary password. You will receive a confirmation email and be directed to change your password. You may follow the instruction guide to complete the process. B2GNow also conducts webinars that provide guided training on navigating the system and its available features.

3. Vendors must complete the online Vendor Registration Questionnaire.

4. **Optional Documents.** Firms have the option to attach additional documents to the Questionnaire, including brochures, insurance certificates and bonds.

5. **Forms Due with Proposal Submission:** The following forms are required and must be provided as part of **Volume III: Contractual.** One original is required of each.

   a. **KCATA Affidavit of Civil Rights Compliance.** Contractors and subcontractors agree to comply with Federal Transit Law, specifically 49 U.S.C. 5332 which prohibits discrimination, including discrimination in employment and discrimination in business opportunity. This form is included as Attachment E. In lieu of this form, firms may submit a current certificate from another government agency verifying compliance with their Affirmative Action program.

   b. **KCATA Workforce Analysis/EEO-1 Report.** Firms have the option of submitting KCATA’s form (Attachment F-2) or a current EEO-1 Report that has been filed with another government agency.

   c. **Employee Eligibility Verification**

      (1) In accordance with Section 285.500 RSMo, firms are required by sworn affidavit and provision of documentation, to affirm its enrollment and participation in a Federal work authorization program with respect to employees working in connection with the contracted services. The Proposer is required to obtain the same affirmation from all subcontractors at all tiers.

      (2) The Proposer shall also affirm (Attachment G) that it does not knowingly
employ any person in connection with the contracted services who does not have the legal right or authorization under Federal law to work in the United States as defined in 8 U.S.C. §1324a(h)(3). This form will need to be updated annually.

(3) Acceptable proof of enrollment includes the E-Verify Memorandum of Understanding (MOU) – a valid, completed copy of the first page identifying the business entity and a valid copy of the signature page completed and signed by the business entity, the Social Security Administration and the Department of Homeland Security (DHS).

(4) The Proposer shall obtain this affidavit from its subcontractors at all tiers.

(5) This form is renewable annually.

e. Current IRS Form W9.

6. For questions on these requirements, or for assistance in completing the forms, please contact Maurice Gay, KCATA’s Contract Vendor Specialist Coordinator at (816) 346-0366 or via email at mgay@kcata.org.

E. Debarment

1. The Proposer must certify that is not included in the “U. S. General Services Administration’s List of Parties Excluded from Federal Procurement or Non-procurement Programs.”

2. The Proposer agrees to refrain from awarding any subcontractor of any amount (at any tier) to a debarred or suspended subcontractor, and to obtain a similar certification from any subcontractor (at any tier) seeking a contract exceeding $25,000.

3. The Proposer agrees to provide KCATA with a copy of each conditioned debarment or suspension certification provided by a prospective subcontractor at any tier, and to refrain from awarding a subcontract with any party that has submitted a conditioned debarment or suspension certification until FTA approval is obtained.

F. Lobbying

1. Pursuant to Public Law 104-65, the Proposer is required to certify that no Federal funds were used to influence or attempt to influence an officer or employee of any Federal department or agency, a member of Congress or State legislature, an officer or employee of Congress or State legislature, or an employee of a member of Congress or State legislature regarding the project(s) included in this contract.

2. Proposers who use non-Federal funds for lobbying on behalf of specific projects or proposals must submit disclosure documentation when these efforts are intended to influence the decisions of Federal officials. If applicable, Standard Form-LLL, "Disclosure Form to Report Lobbying", is required with the Proposer’s first submission initiating the KCATA’s consideration for a contract. Additionally, Disclosure forms are required each calendar quarter following the first disclosure if there has been a material change in the
status of the previous disclosure. A material change includes: 1) a cumulative increase of $25,000 or more in the amount paid or expected to be paid for influencing or attempting to influence a covered Federal action; 2) a change in the person(s) or individual(s) influencing or attempting to influence a covered Federal action; or 3) a change in the officer(s) or employee(s) or Member(s) contacted to influence or attempt to influence a covered Federal action.

3. The Proposer is required to obtain the same certification and disclosure from all subcontractors (at all tiers) when the Federal money involved in the subcontract is $100,000 or more. Any disclosure forms received by the Proposer must be forwarded to the KCATA.

7. **Receipt of Addenda.** If an Addendum is issued as part of this RFP, please provide the “Receipt of Addenda” form that was issued with Addendum #1.

8. **Complete Proposal Documents.** Include the jump drive or CD of the complete proposal (see Section 4.1.C).

4.5 **Proposal Evaluation Criteria**

The KCATA will review responses for responsiveness and responsibility. Those found responsive and responsible based on an initial review shall proceed to Step 2. To assist with the review of all proposals and identifying the proposal (if any) that offers the best value to the KCATA, an evaluation of each proposal will take place based on the criteria and format outlined below:

**Category - Maximum Points Details**

a. **Software & Hardware: 30 points**
   - Functionality to meet the KCATA’s current and future requirements as outlined in section 2.5.
   - Ease of use, intuitive design, impact on daily processes and procedures.
   - Integration with other software and hardware systems.

b. **Support: 15 points**
   - Overall support experience, including normal support, after-hours and emergency procedures, escalation process, follow-up, and reporting.
   - Experience and level of expertise of support team.

c. **Company and Project Team Experience and Qualifications: 15 points**
   - Technical and management capacity and skills of consultant (and other team members, if applicable);
   - Quality of proposer’s work based on recent and relevant project experience on similar assignments, demonstrating suitability to undertake work;

d. **Methodology and Work Schedule: 15 points**
• Understanding of project requirements, scope of work and deliverables;
• Detailed methodology in addressing scope of work and deliverables;
• Format, clarity and quality of proposed work plan and schedule detailed by major part and each key task;
• Realistic timeline and ability to meet deadlines
• Details of team member participation and role in delivering work;

e. Hardware Costs, Fees & Projected Deliverables: **25 points**

• Costing of each major part and key task to complete the Project;

The KCATA may interview top ranked firms from the proposal evaluation. If interviews are conducted, rankings of firms shall be determined by the KCATA, using the combined results of interviews and proposal submittals. Consultants invited to interview are to bring the assigned key person(s) named by the Consultant in the Proposal and may bring other key personnel named in the Proposal. The Consultant shall not bring individuals who do not work for the Consultant or are not on the project team without advance authorization by the Procurement Contact.

The KCATA shall select the highest ranked Proposer(s) for award including written proposal and the interview (If applicable). The KCATA reserves the right to make a final selection based on the combined results and/or the consensus of the Consultant Evaluation Committee.

4.6 **Presentations/Interviews/Written Responses**

Highly qualified Proposers submitting responsive and responsible proposals may be invited to interview with the evaluation committee at their own expense. The evaluation committee may also require a Proposer(s) to submit written responses to questions regarding its proposal. Proposers selected for interview will be notified.

4.7 **Consultant Selection**

Based on the evaluation process described above, the Evaluation Committee will determine the best-qualified firm/team for this project and contract negotiations will begin immediately with the selected firm. If negotiations are successful, the Evaluation Committee will recommend the best-qualified firm/team to KCATA’s Board of Commissioners for final authorization. If KCATA fails to reach an agreement with the top-ranked team, the KCATA will enter into negotiations with the subsequent firms/teams.

4.8 **Contract Award**

The selected Proposer shall only perform work on the Contract after the effective date is affixed and the fully executed contract sent to the selected proposer. KCATA shall issue a written Notice to Proceed to the selected Proposer authorizing the work to begin on a date which is on or after the effective date. The selected Proposer shall not start the performance of any work prior to the date set forth in the Notice to Proceed and KCATA shall not be liable to pay the selected Proposer for any service or work performed or expenses incurred before that date. No KCATA employee or Board member has the authority to verbally direct the commencement of any work under the contract.
ATTACHMENT A
PROPOSAL SUBMITTAL CHECKLIST
DOCUMENT/FORM REQUIREMENTS

The following forms are required to be submitted as part of proposal. Your Proposal may be considered non-responsive if you fail to submit the required documents for Prime and all sub-consultants at the closing date/time.

Volume I: Cost Proposal:

• One (1) original submitted per requirements as stated on Page 3 and Section 3, clearly marked as “Volume I – Cost Proposal” (Attachment D-1 and D-2).

Volume II: Technical Proposal:

• One (1) original submitted per requirements as stated on Page 3 and Section 3, clearly marked as “Volume II – Technical Proposal”

Volume III: Contractual (One set of originals): submitted per requirements as stated on Page 3 and Section 3, clearly marked as “Volume III Contractual”

• Attachment E Affidavit of Civil Rights Compliance (for Prime and all Subcontractors)
• Attachment F1-F2 KCATA EEO-1/Workforce Analysis Report (for Prime and Subcontractors)
• Attachment G.1 Affidavit of Primary Participants Regarding Employee Eligibility Verification (Prime Contractor)
• Attachment G.2 Affidavit of Lower-Tier Participants Regarding Employee Eligibility Verification, if applicable (Subcontractors)
• Attachment H.1 Certification of Primary Participant Regarding Debarment, Suspension, and Other Responsibility Matters (Prime Contractor)
• Attachment H.2 Certification of Lower-Tier Participants Regarding Debarment, Suspension, and Other Ineligibility and Voluntary Exclusion, if applicable (Subcontractors)
• Attachment I.1 Certification of Primary Participants Regarding Restrictions on Lobbying (Prime)
• Attachment I.2 Certification of Lower-Tier Participants Regarding Restrictions on Lobbying (Subcontractors)
• Attachment J Letter of Intent to Subcontract with DBE Firms (only for DBE Subcontractors)
• Attachment K Schedule of Participation by Contractors & Subcontractors
• Financial Statements for Past Two (2) Years (Prime Contractor Only)
• Receipt of Addenda Form (if addendum issued as part of this RFP)
ATTACHMENT B
SAMPLE CONTRACT/TERMS AND CONDITIONS

THIS CONTRACT (the “Contract”), made and entered into as of the ___ day of ____________, 2020, by and between the Kansas City Area Transportation Authority (“KCATA”), a body corporate and politic, and a political subdivision of the States of Missouri and Kansas, with offices at 1350 East 17th Street, Kansas City, Missouri, and _____________ (“Contractor”), with offices at ________________.

NOW, THEREFORE, in consideration of the covenants and conditions to be performed by the respective parties hereto and of the compensation to be paid as hereinafter specified, the KCATA and the Contractor agree as follows:

1. EMPLOYMENT OF CONTRACTOR.

This Contract is entered into for the purpose of engaging the Contractor as an independent contractor by KCATA in accordance with that certain proposal submitted by the Contractor dated ______________, a copy of which is attached hereto as Appendix D and incorporated herein by reference (“Proposal”).

2. SCOPE OF CONTRACT.

The Contractor shall provide the services and deliverables consistent with the Request for Proposal (RFP) solicited by the KCATA, dated May 22, 2020 entitled “Transit Master and Automatic Passenger Counter Upgrade” (sometimes referred to as the “Project” or the “Work”), which is incorporated herein by reference. The Contractor hereby agrees to provide the services as needed at the firm, fixed prices stated in the Appendix ____ attached hereto for the KCATA in accordance with the specifications of the scope of contract provided in the Contract Documents herein.

3. TERM.

The term of this contract agreement shall be for a period of ____ (__) year(s) beginning ___________, 2020 and expiring on _____________ with ____ (___) one-year extension options. The deliverables to be provided and/or services to be performed shall commence upon receipt of a notice to proceed from the KCATA. Work in process prior to expiration of the contact agreement shall be completed and as construed by KCATA to be within the “contract term.”

4. CONTRACT SUM.

The KCATA shall pay the Contractor in current funds for the provision of products and the performance of the services (Appendix B to this Contract), subject to (a) the terms and conditions of the Contract and (b) any KCATA authorized additions or deductions by “Change Order,” if applicable, as provided in this Contract. The contractor shall be paid for the work performed at the rates set out in the Contractor’s Cost Proposal (Appendix C). It is anticipated that the funds to be paid the Contractor under this contract shall not exceed the sum of ______________________ Dollars ($__________).

Annual funding for subsequent years of the contract and extension options, if exercised, will be based on KCATA’s anticipated needs and in accordance with the rates established herein.

5. ORDER OF PRECEDENCE

In the event of any inconsistency between the articles, attachments, specifications or provisions which constitute this Contract, the following order of precedence shall apply:

A. Specific written amendments or modifications/change orders to the executed Contract;
B. KCATA’s Standard Terms and Conditions;
C. Executed Contract and any attachments incorporated by reference
D. Contractor’s Proposal; and
E. KCATA’s RFP and Scope of Work/Services, including any attachments incorporated by reference.
6. **MISCELLANEOUS PROVISIONS.**

The following Appendices are attached hereto by reference as part of this Contract. This Contract and any amendments issued hereafter, constitute the entire Contract between the KCATA and the Contractor.

Appendix A. Contract Terms and Conditions; and  
Appendix B. Scope of Work; and  
Appendix C. Cost Page Submitted by Contractor.

**IN WITNESS WHEREOF,** the parties hereto for themselves, their successors and permitted assigns, executed this Contract Agreement as of the day and year first above written.

**CONTRACTOR’S NAME**  
(CONTRACTOR)  

By ______________________________________

Name of Authorized Signer  
Title of Authorized Signer

**KANSAS CITY AREA TRANSPORTATION AUTHORITY (KCATA)**

By __________________________________________________________________________

David Bower, Chairman of the Board

By __________________________________________________________________________

Anne Post, Secretary of the Board
APPENDIX A
CONTRACT TERMS AND CONDITIONS

1. ACCEPTANCE OF SERVICES/DELIVERABLES – NO RELEASE

Acceptance of any portion of the services and/or deliverables prior to final acceptance shall not release the Contractor from liability for faulty workmanship, or for failure to fully comply with all of the terms of this Contract. KCATA reserves the right and shall be at liberty to inspect all work products at any time during the Contract term, and shall have the right to reject all services or deliverables which do not conform with the conditions, Contract requirements or specifications; provided, however, that KCATA is under no duty to make such inspection, and Contractor shall (notwithstanding any such inspection) have a continuing obligation to furnish all services and deliverables in accordance with the instructions, Contract requirements and specifications. Until delivery and acceptance, and after any rejections, risk of loss will be on the Contractor, unless loss results from negligence of KCATA.

2. AGREEMENT IN ENTIRETY

This Contract represents the entire and integrated agreement between the parties and supersedes all prior negotiations, representations or agreements, either written or oral. This Contract may be amended only by written instrument signed by all parties.

3. ASSIGNMENT

The Contractor shall not assign any interest in this Contract and shall not transfer any interest in the same (whether by assignment or novation), without the prior written consent of KCATA. In the event of KCATA’s consent to assignment of this Contract, all of the terms, provisions and conditions of the Contract shall be binding upon and inure to the benefit of the parties and their respective successors, assigns and legal representative.

4. BANKRUPTCY

In the event the Contractor enters into proceedings relating to bankruptcy, whether voluntary or involuntary, the Contractor agrees to furnish, by certified mail, written notification of the bankruptcy to the KCATA official identified in the “Notification and Communication” section. This notification shall be furnished within five (5) days of the initiation of the proceedings relating to bankruptcy filing. This notification shall include the date on which the bankruptcy petition was filed, the identity of the court in which the bankruptcy petition was filed, and a listing of KCATA Contract numbers against which final payment has not been made. This obligation remains in effect until final payment under this Contract.

5. BREACH OF CONTRACT; REMEDIES

A. If the Contractor shall fail, refuse or neglect to comply with any terms of this Contract, such failure shall be deemed a total breach of contract and the Contractor shall be subject to legal recourse by KCATA, plus costs resulting from failure to comply including the KCATA’s reasonable attorney fees, whether or not suit be commenced.

B. The duties and obligations imposed by this Contract and the rights and remedies available hereunder shall be in addition to and not a limitation of any duties, obligations, rights and remedies otherwise imposed or available by law or equity. No action or failure to act by KCATA shall constitute a waiver of any right or duty afforded under this Contract, nor shall any such action or failure to act constitute an approval of or acquiescence in any breach hereunder, except as may be specifically agreed in writing.

6. CHANGES

KCATA may at any time, by a written order, and without notice to the Contractor, make changes within the general scope of this Contract. No such changes shall be made by the Contractor without prior written approval by KCATA. If any such change causes an increase or decrease in the Contract sum, or the time required for performance of this Contract, whether changed or not changed by such order, an equitable adjustment shall be made by written modification. Any Contractor’s
claim for adjustment under this clause must be asserted within 30 days from the date of receipt by the Contractor of the notification of change. Nothing in this clause shall excuse the Contractor from proceeding with this Contract as changed.

7. **CIVIL RIGHTS**

A. **Nondiscrimination.** In accordance with Title VI of the Civil Rights Act, as amended, 42 U.S.C. § 2000e, section 303 of the Age Discrimination Act of 1975, as amended, 42 U.S.C. § 6102, section 202 of the Americans with Disabilities Act of 1990, 42 U.S. C. § 12132, and Federal transit law at 49 U.S.C. § 5332, the Contractor agrees that it will not discriminate against any employee or applicant for employment because of race, color, creed, age, sex, sexual orientation, gender identity, national origin or disability. In addition, the Contractor agrees to comply with applicable Federal implementing regulations and other implementing regulations that the Federal Transit Administration (FTA) may issue.

B. **Equal Employment Opportunity.** The following equal employment opportunity requirements apply to this Contract:

1. **Race, Color, Creed, National Origin or Sex.** In accordance with Title VII of the Civil Rights Act, as amended, 42. U.S.C. §2000e, et seq., and Federal transit laws at 49 U.S.C. §5332, the Contractor agrees to comply with all applicable equal opportunity requirements of the U.S. Department of Labor (U.S. DOL) regulations, “Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor” 41 C.F.R. Parts 60 et seq., (which implement Executive Order No. 11246, “Equal Employment Opportunity,” as amended by Executive Order No. 11375, “Amending Executive Order 11246 Relating to Equal Employment Opportunity,” 42 U.S.C. 2000e note), and with any applicable Federal statutes, executive orders, regulations, and Federal policies that may in the future affect construction activities undertaken in the course of the Contract. The Contractor agrees to take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, creed, age, sex, sexual orientation, gender identity or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.


C. **ADA Access Requirements.** In accordance with section 102 of the Americans with Disabilities Act, as amended, 42 U.S.C. § 12112 and section 504 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. § 794, the Contractor agrees that it will comply with the requirements of U.S. Department of Transportation regulations, “Transportation Services for Individuals with Disabilities (ADA),” 49 CFR Part 37; and U.S. Department of Transportation regulations, “Americans with Disabilities Accessibility Specifications for Transportation Vehicles,” 36 CFR Part 1192 and 49 CFR Part 38, pertaining to facilities and equipment to be used in public transportation. In addition, the Contractor agrees to comply with the requirements of 49 U.S.C. § 5301 (d) which expresses the Federal policy that the elderly and persons with disabilities have the same right as other persons to use mass transportation services and facilities, and that special efforts shall be made in planning and designing those services and facilities to implement transportation accessibility rights for elderly persons and persons with disabilities. Contractor also agrees to comply with any implementing requirements FTA may issue.
D. Contractor understands that it is required to include this Article in all subcontracts. Failure by the Contractor to carry out these requirements or to include these requirements in any subcontract is a material breach of this Contract, which may result in the termination of this Contract or such other remedy as the KCATA deems appropriate, including but not limited to withholding monthly progress payments and/or disqualifying the Contractor from future bidding as non-responsible.

8. CONFLICTS OF INTEREST (ORGANIZATIONAL)

In accordance with 2 C.F.R. § 200.112, the Contractor certifies that it has no other activities or relationships that would make the Contractor unable, or potentially unable, to render impartial assistance or advice to KCATA, or that would impair the Contractor's objectivity in performing work under this Contract, or that would result in an unfair competitive advantage to Contractor or to another third party performing the Project work.

9. CONTINUITY OF SERVICES

A. The Contractor recognizes that the services under this Contract are vital to the KCATA and must be continued without interruption and that, upon contract expiration, a successor, either the KCATA or another contractor may continue them. The Contractor agrees to (1) furnish phase in-training and (2) exercise its best efforts and cooperation to affect an orderly and efficient transition to a successor.

B. The Contractor shall, upon KCATA's written notice, (1) furnish phase-in, phase-out services for up to 90 days after this Contract expires and (2) negotiate in good faith a plan with a successor to determine the nature and extent of phase-in, phase-out services required. The plan shall specify a training program and a date for transferring responsibilities for each division of work described in the plan and shall be subject to KCATA's approval. The Contractor shall provide sufficient experienced personnel during the phase-in, phase-out period to ensure that the services called for by this Contract are maintained at the required level of proficiency.

10. CONTRACTOR'S PERSONNEL

All of the services required hereunder shall be performed by the Contractor or under its supervision and all personnel engaged in the services shall be fully qualified and authorized under state and local law to perform such services. Any change in the key personnel, as described in the contractor's proposal, shall be subject to the written approval of KCATA; such approval shall not be unreasonably withheld. The parties agree that at all times during the entire term of this Contract that the persons listed in Contractor's proposal shall serve as the primary staff person(s) of Contractor to undertake, render and oversee all of the services of this Contract subject to KCATA's right to remove personnel. KCATA reserves the right to require the Contractor to remove any personnel and or subcontractors for any cause provided such request for removal shall be documented in writing to Consultant.

11. CONTRACTOR’S RESPONSIBILITY

No advantage shall be taken by the Contractor or its subcontractor of the omission of any part or detail which goes to make the equipment complete and operable for use by KCATA. In case of any variance, this specification shall take precedence over Contractor's or subcontractor's own specifications. The Contractor shall assume responsibility for all materials and services used whether the same is manufactured by the Contractor or purchased ready made from a source outside the Contractor's company.

12. DISPUTE RESOLUTION

A. Except as otherwise provided in this Contract, any dispute concerning a question of fact arising under this Contract which is not disposed of by agreement shall be decided by KCATA's Director of Procurement, who shall reduce the decision to writing and mail or otherwise furnish a copy to the Contractor. The decision of the Director of Procurement shall be final and conclusive unless within ten (10) days from the date of receipt of such copy the Contractor mails or otherwise furnishes a written appeal addressed to the Chief Financial Officer, with a copy to the Director of Procurement. The determination of such appeal by the Chief Financial Officer shall be final and conclusive unless determined by a court of competent jurisdiction to have been fraudulent or capricious, arbitrary, or not supported by substantial evidence. In connection with any appeal proceeding under this clause the Contractor shall be afforded an opportunity to be heard and to offer evidence in support of its appeal. Pending final decision of a dispute hereunder, and unless otherwise
directed in writing by KCATA, the Contractor shall proceed diligently with performance in accordance with the Director of Procurement’s decision.

B. The duties and obligations imposed by the Contract and the rights and remedies available hereunder shall be in addition to and not a limitation of any duties, obligations, rights and remedies otherwise imposed or available by law. No action or failure to act by the KCATA or Contractor shall constitute a waiver of any right or duty afforded any of them under the Contract, nor shall any such action or failure to act constitute an approval of or acquiescence in any breach thereunder, except as may be specifically agreed in writing.

13. EMPLOYEE ELIGIBILITY VERIFICATION

A. To comply with Section 285.500 RSMo, et seq., the Contractor is required by sworn affidavit and provision of documentation, to affirm its enrollment and participation in a federal work authorization program with respect to the employees working in connection with the contracted services. The Contractor shall also affirm that it does not knowingly employ any person in connection with the contracted services who does not have the legal right or authorization under federal law to work in the United States as defined in 8 U.S.C. §1324a(h)(3). The Contractor is required to obtain the same affirmation from all subcontractors at all tiers with contracts exceeding $5,000.

B. A federal work authorization program is any of the electronic verification of work authorization programs operated by the United States Department of Homeland Security (E-Verify) or an equivalent federal work authorization program operated by the United States Department of Homeland Security to verify information of newly hired employees, under the Immigration Reform and Control Act of 1986 (IRCA), P.L.99-603.

14. GOVERNING LAW; CHOICE OF JUDICIAL FORUM

This Contract shall be deemed to have been made in, and be construed in accordance with, the laws of the State of Missouri. Any action of law, suit in equity, or other judicial proceeding to enforce or construe this Contract, respecting its alleged breach, shall be instituted only in the Circuit Court of Jackson County, Missouri.

15. HEADINGS

The headings included in this Contract are inserted only as a matter of convenience and for reference, and in no way define, limit or describe the scope of intent of any provision, and shall not be construed to affect, in any manner, the terms and provisions hereof of the interpretation or construction thereof.

16. INDEPENDENT CONTRACTOR

A. The parties agree that the Contractor is an independent contractor under this Contract. Under no circumstance shall the Contractor be considered an agent, employee or representative of KCATA and KCATA shall not be liable for any claims, losses, damages, or liabilities of any kind resulting from any action taken or failed to be taken by the Contractor.

B. The Contractor shall furnish adequate supervision, labor, materials, supplies, security, financial resources and equipment necessary to perform all the services contemplated under this Contract in an orderly, timely, and efficient manner.

17. INSPECTION OF SERVICES

A. The Contractor shall provide and maintain an inspection system acceptable to the Authority covering the services provided in the performance of the Contract. “Services” as used in this clause, includes services performed, quality of the work, and materials furnished or used in the performance of services.

B. The Contractor shall provide and maintain an inspection system acceptable to the Authority covering the project. Complete records of all inspection work performed by the Contractor shall be maintained and made available to the Authority during contract performance and for as long afterwards as the Contract requires.
C. The Authority has the right to inspect and test all services called for by this Contract to the extent practicable at all times and places during the term of the Contract. The Authority shall perform inspection and tests in a manner that will not unduly delay the work.

D. If any of the services performed do not conform to Contract requirements, the Authority may require the contractor to perform the services again in conformity with Contract requirements for no additional fee. When the defects in performance cannot be corrected by re-performance, the Authority may:

1. Require the Contractor to take necessary action to ensure that future performance conforms to Contract requirements; or

2. Reduce the Contract Sum accordingly.

E. If the Contractor fails to promptly perform the services again or to take the necessary action to ensure future performance in conformity with contract requirements, the Authority may:

1. By contract or otherwise, perform the services and charge to the Contractor any cost incurred by the Authority that is directly related to the performance of the work; or

2. Terminate the Contract for default.

18. INSTALLATION

If specified, the Contractor shall install and place in operation, subject to approval of KCATA, the equipment, at the Contractor’s expense, within thirty (30) days from issuance of a notice to install issued by KCATA. If required, the Contractor shall assemble the equipment as part of the installation.

19. INSURANCE

A. The insurance required in this Contract shall be written for not less than any limits of liability required by law or by those set forth below, whichever is greater, and shall include blanket contractual liability insurance as applicable to the Contractor’s obligations under the Liability and Indemnification section below. All policies, except Professional Liability policies, shall name KCATA, its commissioners, officers, and employees as additional insureds. Explosion, collapse and underground coverage shall not be excluded. The insurance should be written with companies acceptable to KCATA and the companies should have a minimum A.M. Best’s insurance rating of A-(VIII). An exception to the minimum A.M. Best rating is granted for Workers Compensation exposures insured through the Builders’ Association of Self Insurance Fund (BASIF).

B. The Contractor shall be required to furnish to KCATA certificates verifying the required insurance and relevant additional insured endorsements prior to execution of the Contract, and thereafter furnish the certificates on an annual basis. The certificates (with the exception of Professional Liability and Workers Compensation coverage) shall specifically state that:

1. Contractual liability coverage is applicable; and

2. The Kansas City Area Transportation Authority, its commissioners, officers and employees are named as additional insureds (Named Insureds) on the policies covered by the certificate; using this specific wording: Kansas City Area Transportation Authority, its commissioners, officers, and employees are named as additional insureds as respects general liability and where required by written contract. Any coverage afforded the certificate holder as an additional insured shall apply as primary and not excess or contributing to any insurance or self-insurance in the name of the certificate holder and shall include a waiver of subrogation.
C. Further, from time to time and whenever reasonably requested by KCATA, the Contractor shall represent and warrant to KCATA (1) the extent to which the insurance limits identified below have been, or may be, eroded due to paid or pending claims under the policies; and (2) the identity of other entities or individuals covered as an additional insured on the policies. Further, the Contractor shall confirm that the insurers’ obligation to pay defense costs under the policies is in addition to, and not part of the liability limits stated in the policies.

D. All such insurance, with the exception of Professional Liability coverage, shall contain endorsements that the policies may not be canceled or amended or allowed to lapse by the insurers with respect to KCATA its commissioners, officers and employers by the insurance company without thirty (30) days prior notice to KCATA in addition to the Named Insured(s) and that denial of coverage or voiding of the policy for failure of Contractor to comply with its terms shall not affect the interest of KCATA, its commissioners, officers and employees thereunder.

E. The requirements for insurance coverage are separate and independent of any other provision hereunder.

1. **Worker’s Compensation:**
   a. **State:** Missouri and/or Kansas – Statutory
   b. **Employer’s Liability:** Bodily Injury by Accident -- $500,000 Each Accident
      Bodily Injury by Disease -- $500,000 Each Employee
      Bodily Injury by Disease -- $500,000 Policy Limit

   The Contractor and any subcontractor shall maintain adequate workers’ compensation insurance as required by law to cover all employees during performance of services, or during delivery, installation, assembly or related services in conjunction with this Agreement.

2. **Commercial General Liability:**
   Bodily Injury and Property Damage to include Products and Completed Operations:
   - $1,000,000 Each Occurrence
   - $2,000,000 General Aggregate (per project)
   - $1,000,000 Personal and Advertising Injury
   - $50,000 Fire Damage
   - $5,000 Medical Expenses
   - 2 Years (Completed Operations)

   Contractor shall procure and maintain at all times during the term of the KCATA purchase order or the Contract commercial general liability insurance for liability arising out of the operations of the Contractor and any subcontractors. The policy(ies) shall include coverage for the Contractor’s and subcontractors’ products and completed operations for at least two (2) years following project completion, or as otherwise noted. The policy(ies) shall name as an additional insured, in connection with Contractor’s activities, the KCATA, its commissioners, officers, and employees. Using ISO Form CG 20 10 11 85 (or OCG20 26 0704 in the case of a Blanket Endorsement), or such other additional insured forms acceptable to KCATA. The Insurer(s) shall agree that its policy(ies) is primary insurance and that it shall be liable for the full amount of any loss up to and including the total limit of liability without right of contribution from any other insurance or self-insurance KCATA may have.

3. **Auto Liability:**
   Bodily Injury and Property Damage: $1,000,000 Combined Single Limit

   The policy(ies) shall include automobile liability coverage for all vehicles, licensed or unlicensed, on or off the KCATA premises, whether the vehicles are owned, hired or non-owned, covering use by or on behalf of the Contractor and any subcontractors during the performance of work under this Contract.

4. **Professional Liability Insurance**
Professional Liability Limit: $1,000,000 Each Claim  
$1,000,000 Annual Aggregate

**Where applicable,** the Contractor shall obtain professional liability insurance covering any damages caused by an error, omission or any negligent acts of the Contractor or its employees with regard to performance under this Agreement.

5. **Umbrella or Excess Liability**

Umbrella or Excess Liability Limit: $1,000,000 Each Occurrence  
$1,000,000 Aggregate (per project)

**Where applicable,** the Contractor shall obtain and keep in effect during the term of the contract, Umbrella or Excess Liability Insurance covering their liability over the limit for primary general liability, automobile liability, and employer’s liability.

6. **Pollution**

Pollution Liability Limit: $1,000,000 Each Occurrence  
$1,000,000 Annual Aggregate

**Where applicable,** the Contractor shall obtain and keep in effect during the term of the Contract, Pollution Liability Insurance covering their liability for bodily injury, property damage and environment damage, including clean up and remediation costs arising out of the work or services to be performed under this contract. Coverage shall apply to the above for premises and operations, products and completed operations and automobile liability. Automobile liability coverage may be satisfied by utilizing ISO Endorsement CA 9948 or equivalent.

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20. **LIABILITY AND INDEMNIFICATION**

A. **Contractor’s Liability.** Contractor shall be liable for all damages to persons (including employees of Contractor) or property of any type that may occur as a result of any act or omission by Contractor, any subcontractors, or sub-subcontractor, their respective agents or anyone directly employed by any of them or anyone.

B. **Subrogation.** Contractor, its agents and any subcontractor hereby waive and relinquish any right of subrogation or claim against KCATA, its commissioners, senior leaders and employees arising out of the use of KCATA’s premises (including any equipment) by any party in performance of this Agreement.

C. **Indemnification.**

1. To the fullest extent permitted by law, Contractor agrees to and shall indemnify, defend and hold harmless KCATA, its Commissioners, officers and employees from and against any and all claims, losses, damages, causes of action, suits, liens and liability of every kind, (including all expenses of litigation, expert witness fees, court costs and attorney’s fees whether or not suit be commenced) by or to any person or entity (collectively the “Liabilities”) arising out of, caused by, or resulting from the acts or omissions of Contractor, subcontractors, or sub-subcontractors, their respective agents or anyone directly or indirectly employed by any of them in performing work under this Contract, regardless of whether or not such claim, damage, loss or expense is caused in part by a party indemnified hereunder, so long as such Liabilities are not caused by the sole negligence or willful misconduct of a party indemnified hereunder. Such obligation shall not be construed to negate, abridge or otherwise reduce other rights or obligations of indemnity which would otherwise exist as to a party or person described in this paragraph. Contractor shall also indemnify, hold harmless and defend the KCATA for any contractor or subcontractor action, tort or violation of federal or state law or city ordinance.

2. In claims against any person or entity indemnified under this section, by an employee or Contractor, or anyone directly or indirectly employed by any of them, the subcontractor or sub-subcontractor indemnification
obligation shall not be limited by a limitation on the amount or type of damages, compensation or benefits payable by or for the Contractor, subcontractor, or sub-subcontractor under worker’s compensation acts, disability benefit acts or other employee benefit acts. If any action at law or suit in equity is instituted by any third party against Contractor arising out of or resulting from the acts of Contractor in performing work under this Contract, Contractor shall promptly notify KCATA of such suit.

3. If any action at law or suit in equity is instituted by any third party against KCATA or its commissioners, officers or employees arising out of or resulting from the acts of Contractor, a subcontractor or sub-subcontractor, their respective agents or anyone directly or indirectly employed by any of them in providing products, equipment or materials, or in performing work or services under this Contract, and if Contractor has failed to provide insurance coverage to KCATA against such action as required herein or otherwise refuses to defend such action, KCATA shall have the right to conduct and control, through counsel of its choosing, the defense of any third party claim, action or suit, and may compromise or settle the same, provided that KCATA shall give the Contractor advance notice of any proposed compromise or settlement. Under these circumstances, KCATA retains the right to recover all costs of defense from the Contractor.

4. KCATA shall permit Contractor to participate in the defense of any such action or suit through counsel chosen by the Contractor, provided that all fees and expenses of such counsel shall be borne by Contractor. If KCATA permits Contractor to undertake, conduct and control the conduct and settlement of such action or suit, Contractor shall not consent to any settlement that does not include as an unconditional term thereof the giving of a complete release from liability with respect to such action or suit to KCATA. Contractor shall promptly reimburse KCATA for the full amount of any damages, including fees and expenses of counsel for KCATA, incurred in connection with any such action.

D. Release of Liability. Contractor, its officers, directors, employees, heirs, administrators, executors, agents and representatives and respective successors and assigns hereby fully release, remise, acquit and forever discharge the KCATA and its commissioners, officers, directors, attorneys, employees, agents, representatives and its respective successors and assigns from any and all actions, claims, causes of action, suits, rights, debts, liabilities, accounts, agreements, covenants, contracts, promises, warranties, judgments, executions, demands, damages, costs and expenses, whether known or unknown at this time, of any kind or nature, absolute or contingent, existing at law or in equity, on account of any matter related to this agreement, cause or thing whatsoever that has happened, developed or occurred before or after you sign and deliver this Contract to KCATA. This release will survive the termination of this Contract.

21. LICENSING, LAWS AND REGULATIONS

A. The Contractor shall, without additional expense to KCATA, be responsible for obtaining any necessary licenses and permits, and for complying with all federal, state, and municipal laws, codes, and regulations applicable to the providing of products, equipment or materials, or the performance of the Services, under this Contract.

B. The Contractor shall comply with all applicable and current rules, regulations and ordinances of any applicable federal, state, county or municipal governmental body or authority, including but not limited to those as set forth by the Environmental Protection Agency, the Missouri Department of Natural Resources, the Kansas Department of Health and Environmental, the FTA, the Department of Transportation, and the City of Kansas City, Missouri.

22. NOTIFICATION AND COMMUNICATION

A. Communications regarding technical issues and activities of the project shall be exchanged with Bryan Beck KCATA’s Chief Technology Officer, at (816) 346-0302 or via e-mail at bbeck@kcata.org

B. Issues regarding the contract document, changes, amendments, etc. are the responsibility of KCATA’s Procurement Department. All notices and communications on all matters regarding this Contract may be given by delivery or mailing the same postage prepaid, addressed to the following:

If to KCATA: Tamika McDonald, Procurement Supervisor
C. The Contractor shall notify KCATA immediately when a change in ownership has occurred or is certain to occur.

D. The addresses to which notices may be made may be changed from time to time by notice mailed as described above. Any notice given by mail shall be deemed given on the day after that on which it is deposited in the United States Mail as provided above.

23. OWNERSHIP, IDENTIFICATION, AND CONFIDENTIALITY OF WORK

A. All reports, programs, documentation, designs, drawings, plans, specifications, schedules and other materials prepared, or in the process of being prepared, for the services to be performed by Contractor shall be and are the property of KCATA and shall be identified in an appropriate manner by a title containing KCATA’s name and address.

B. KCATA shall be entitled to copies of these materials during the progress of the work.

C. Any such material remaining in the possession of the Contractor or in the possession of a subcontractor upon completion or termination of the work, and for which KCATA has reimbursed the contractor, shall be immediately delivered to KCATA. If any materials are lost, damaged or destroyed before final delivery to KCATA, the Contractor shall replace them at its own expense, and the Contractor assumes all risks of loss, damage or destruction of or to such material.

D. The Contractor may retain a copy of all materials produced under this Contract for its own internal use.

E. Any KCATA materials to which the Contractor has access or materials prepared by the Contractor shall be held in confidence by the Contractor, who shall exercise all reasonable precautions to prevent the disclosure of confidential information to anyone except the officers, employees and agents of the Contractor as necessary to accomplish the work set forth in this agreement.

F. Access to copies of any reports, information, data, etc., available to or prepared or assembled by the Contractor under this Contract shall not be made available to any third party by the Contractor without the prior written consent of KCATA.

24. PRIVACY ACT REQUIREMENTS

A. The Contractor agrees to comply with and assures the compliance of its employees and subcontractors with, the information restrictions and other applicable requirements of the Privacy Act of 1974, 5 U.S.C. § 552. Among other things, the Contractor agrees to obtain the express consent of the KCATA and/or the Federal Government before the Contractor or its employees operate a system of records on behalf of the KCATA or Federal Government.

B. The Contractor understands that the requirements of the Privacy Act, including the civil and criminal penalties for violation of that Act, apply to all individuals involved, and that failure to comply with the terms of the Privacy Act may result in termination of the underlying Agreement.

C. The Contractor agrees that strict privacy will be maintained in the collection, storage, use, transfer, access to and/or security of personnel information. Contractor agrees to protect such information, and to limit the use of the information to that required by the contract.
D. Contractor shall be liable to each employee for loss of any private or personal information lost or left unsecure by Contractor. Contractor shall not have any personal employee information for any reason outside of this contract.

25. PROHIBITED INTERESTS

A. No board member, officer, employee or agent of KCATA or of a local public body who has participated or will participate in the selection, award, or administration of this Contract, nor any member of his or her immediate family, business partner or any organization which employs, or intends to employ any of the above during such period, shall have any interest, direct or indirect, in this Contract or the proceeds thereof, to any share or part of this Contract, or to any benefit arising there from. This shall not be construed to prevent any such person from owning stock in a publicly owned corporation.

B. No member of, or delegates to, the Congress of the United States shall be admitted to any share or part of the Contract, or to any benefit arising there from. This shall not be construed to prevent any such person from owning stock in a publicly owned corporation.

26. PROHIBITED WEAPONS AND MATERIALS

A. Missouri Revised Statutes, Section 571.107 (R.S.Mo. §571.107) allows government units and businesses to prohibit persons holding a concealed carry endorsement from carrying concealed firearms on its premises. Accordingly, KCATA has adopted the following rules prohibiting weapons, whether concealed or not, and whether or not the individual carrying the weapon has an endorsement or permit to carry.

B. No weapon, including firearms concealed or not, or other instrument intended for use as a weapon, or any object capable of inflicting serious bodily injury upon another person or property may be carried in or on any facility or property of KCATA, including vehicles of contractors parked on KCATA property or leased facilities, or vehicles used in transporting KCATA customers, even if a person has a permit to carry a concealed weapon, unless authorized in writing to do so by KCATA. For the purposes hereof, a weapon shall include, but not be limited to, a firearm, knife, sword, mace, or any instrument of any kind known as blackjack, billy club, club, sandbag and metal knuckles.

C. No explosives, flammable liquids, acids, fireworks, other highly combustible materials, radioactive materials or biochemical materials may be carried on or in any KCATA property, facility or vehicle, including vehicles of contractors parked on KCATA property or leased facilities, or vehicles used in transporting any KCATA customer, except as authorized in writing by KCATA.

D. Any contractor, subcontractor, employee or agent thereof, who has a firearm or other weapon, including those used for recreational purposes, in his/her possession, including on his/her person, in a vehicle on an KCATA facility, in a vehicle carrying KCATA customers, or accessible such as in first aid kits, toolboxes, purses, lunch or carrying bags, etc., at any time while performing KCATA contracted services or on KCATA property, including parking lots, concealed or not, shall be immediately prohibited from performing any further KCATA work, even if the person has a permit to carry a concealed weapon.

E. Any KCATA contractor, subcontractor, employee or agent thereof, while performing KCATA contracted services or on any KCATA property or facilities, who has in his/her possession, carries, transports, displays, uses, flourishes, or threatens another person with a weapon, radioactive material, biochemical material or other dangerous weapon, object or material, which has the capability of inflicting bodily injury, shall be immediately prohibited from performing any further KCATA work and reported to local law enforcement authorities.

27. REQUESTS FOR PAYMENT

A. Invoices requesting payment shall be submitted electronically to KCATA’s dedicated Accounts Payable email at payme@kcata.org with a copy sent to the Procurement Representative identified in this contract. All invoices shall be numbered, dated and contain full descriptive information of materials or services furnished. All invoices and correspondence shall reference KCATA’s contract number and purchase order number. Separate invoices shall be submitted for each purchase order or work (task) order.
B. Payment by KCATA will be made within the later of 1) 30 days after receipt of a proper invoice, or 2) 30 days after KCATA’s acceptance of supplies delivered or services performed by the Contractor. On a final invoice where the payment amount is subject to contract settlement actions, acceptance shall be deemed to have occurred on the effective date of the contract settlement.

C. All final invoices shall be submitted to KCATA within 90 days of project completion or contract termination. Invoices submitted more than 90 days after project completion or contract termination will not be valid and will not be paid. Contractor indemnifies and holds KCATA harmless for any suit filed for payment of invoices submitted after 90 days of project completion or contract termination.

D. **Subcontractor Payments.**

1. **Prompt Payment.** The Contractor shall establish procedures to ensure timely payment of amounts due pursuant to the terms of its subcontracts. The Contractor shall pay each DBE and non-DBE subcontractor for satisfactory performance of its contract, or any billable portion thereof, in accordance with the timing set forth in any applicable laws or no later than 30 days, whichever is less, from the date of the Contractor’s receipt of payment from the Authority for work by that subcontractor.

2. **Prompt Return of Retainage.** If retainage is withheld from subcontractors, the Contractor is required to return any retainage payment to its DBE and non-DBE subcontractors in accordance with the timing set forth in any applicable laws or no later than 30 days, whichever is less, from the date of receipt of the retainage payment from the Authority related to the subcontractor’s work. Any delay or postponement of payment from said time frame may occur only for good cause following written approval from KCATA.

3. The Contractor shall certify on each payment request to the Authority that payment has been or will be made to all subcontractors. Lien waivers may be required for the Contractor and its subcontractors. The Contractor shall notify KCATA on or before each payment request, of any situation in which scheduled subcontractor payments have not been made.

4. If a subcontractor alleges that the Contractor has failed to comply with this provision, the Contractor agrees to support any Authority investigation, and if deemed appropriate by the Authority, to consent to remedial measures to ensure that subcontractors are properly paid as set forth herein.

5. The Contractor agrees that the Authority may provide appropriate information to interested subcontractors who inquire about the status of Authority payments to the Contractor.

6. Nothing in this provision is intended to create a contractual obligation between the Authority and any subcontractor or to alter or affect traditional concepts of privity of contract between all parties.

28. **RIGHT TO OFFSET**

KCATA, without waiver or limitation of any rights, may deduct from any amounts due Contractor in connection with this Contract, or any other contract between Contractor and KCATA, any amounts owed by Contractor to KCATA, including amounts owed by Contractor pursuant to Contractor’s obligation to indemnify KCATA against third party claims arising out of Contractor’s performance of work under this Contract.

29. **SEAT BELT USE POLICY**

Contractor agrees to comply with terms of Executive Order No. 13043 “Increasing Seat Belt Use in the United States” and is encouraged to include those requirements in each subcontract awarded for work relating to this Agreement.

30. **SEVERABILITY**
If any clause or provision of this Contract is held to be invalid illegal or otherwise unenforceable by a court of competent jurisdiction, the remaining provisions of this Contract shall continue in full force and effect.

31. SUBCONTRACTORS

A. Subcontractor Approval. None of the work or services covered by this Contract shall be subcontracted without the prior written approval of KCATA. The only subcontractors approved for this Contract, if any, are listed in an appendix to this Contract. Any substitutions or additions of subcontractors must have the prior written approval of KCATA as set forth herein.

B. The Contractor is responsible for managing and directing the work of the Subcontractors and for all actions of subcontractors performing work under this Contract. Any contact from Subcontractors to KCATA shall be limited to KCATA's Director of Procurement.


D. Subcontractor Payments. See Requests for Payment Provisions.

E. Adequate Provision(s) in Subcontract(s). Any subcontracts related to this Contract must contain adequate provisions to define a sound and complete agreement. In addition, all subcontracts shall contain contractual provisions or conditions that allow for:

1. Administrative, contractual, or legal remedies in instances where subcontractors violate or breach contract terms, including sanctions and penalties as may be appropriate.

2. Termination for cause and for convenience including the manner by which it will be effected and the basis for settlement.

3. The following provisions if included in this Contract:

   - Acceptance of Services/Deliverables – No Release
   - ADA Access Requirements
   - Agreement in Entirety
   - Architect-Engineer Rights & Responsibilities
   - Assignment
   - Bankruptcy
   - Breach of Contract; Remedies
   - Changes
   - Civil Rights
   - Conflicts of Interest
   - Contractor’s Personnel
   - Contractor’s Responsibility
   - Debarment and Suspension
   - Disadvantaged Business Enterprise (DBE)
   - Disclaimer of Federal Government Obligations or Liability
   - Dispute Resolution
   - Employee Eligibility Verification
   - Environmental Regulations
   - Federal Changes
   - Fraud and False or Fraudulent Statements or Related Acts
   - Governing Law: Choice of Judicial Forum
   - Headings
   - Incorporation of FTA Terms
   - Independent Contractor
   - Inspection of Services
F. The Contractor will take such action with respect to any subcontractor as KCATA or the U.S. Department of Transportation may direct as means of enforcing such provisions of this contract.

G. KCATA reserves the right to review the Contractor’s written agreement with its subcontractors (DBE and non-DBE) to confirm that required federal contract clauses are included.

H. KCATA may perform random audits and contact minority subcontractors to confirm the reported DBE participation.

32. SUSPENSION OF WORK

KCATA may order the Contractor, in writing, to suspend, delay, or interrupt all or any part of the work under this agreement for the period of time that KCATA determines appropriate for the convenience of KCATA.

33. TAXPAYER IDENTIFICATION NUMBER (TIN)

The Contractor is required to provide its TIN, which is the number required by the IRS to be used by KCATA in reporting income tax and other returns. The TIN provided by the Contractor is ________________.

34. TERMINATION

A. Termination for Convenience. The KCATA may terminate this Contract, in whole or in part, at any time by written notice to the Contractor when it is in KCATA’s best interest. The Contractor will only be paid the Contract price for supplies delivered and accepted, or work or services performed in accordance with the manner of performance set forth in the Contract.

B. Funding Contingency. If this Contract is subject to financial assistance provided by the U.S. Department of Transportation, the Contractor agrees that withdrawal or termination of such financial assistance by the U.S. DOT may require KCATA to terminate the agreement.

C. Termination for Default.
1. If the Contractor does not deliver supplies in accordance with the contract delivery schedule or according to specifications, or if the Contract is for services, and the Contractor fails to perform in the manner called for in the Contract, or if the Contractor fails to comply with any other provisions of the Contract, KCATA may terminate this Contract for default. Termination shall be effected by serving a notice of termination on the Contractor setting forth the manner in which the Contractor is in default. The Contractor will only be paid the contract price for supplies delivered and accepted, or services performed in accordance with the manner of performance set forth cost of the Contract.

2. If the termination is for failure of the Contractor to fulfill the contract obligations, KCATA may complete the work by contract or otherwise and the Contractor shall be liable for any additional cost incurred by KCATA. If, after termination for failure to fulfill contract obligations, it is determined that the Contractor was not in default, KCATA, after setting up a new delivery or performance schedule, may allow the Contractor to continue work, or treat the termination as a termination for convenience.

D. Opportunity to Cure. KCATA in its sole discretion may, in the case of a termination for breach or default, allow the Contractor an appropriately short period of time in which to cure the defect. In such case, the written notice of termination will state the time period in which cure is permitted and other appropriate conditions. If Contractor fails to remedy to KCATA’s satisfaction the breach or default of any of the terms, covenants, or conditions of this Contract within the time period permitted, KCATA shall have the right to terminate the Contract without any further obligation to Contractor. Any such termination for default shall not in any way operate to preclude KCATA from also pursuing all available remedies legal and non-legal against Contractor and its sureties for said breach or default.

E. Waiver of Remedies for any Breach. In the event that KCATA elects to waive its remedies for any breach by Contractor of any covenant, term or condition of this Agreement, such waiver by KCATA shall not limit KCATA’s remedies for any succeeding breach of that or of any other term, covenant, or condition of this Agreement.

F. Property of KCATA. Upon termination of this Contract for any reason, and if the Contractor has any property in its possession or under its control belonging to KCATA, the Contractor shall protect and preserve the property or pay KCATA full market value of the property, account for the same, and dispose of it in the manner KCATA directs. Upon termination of this Contract for any reason, the Contractor shall (1) immediately discontinue all services affected (unless the notice directs otherwise), and (2) deliver to KCATA’s Project Manager all data, drawings, specifications, reports, estimates, summaries, and other information and materials accumulated in performing this Contract, whether completed or in process.

35. TEXTING WHILE DRIVING AND DISTRACTED DRIVING

Consistent with Executive Order No. 13513, “Federal Leadership on Reducing Text Messaging While Driving,” October 1, 2009, 23 U.S.C. Section 402 note, and DOT Order 3902.10, “Text Messaging While Driving,” December 30, 2009, the Contractor agrees to promote policies and initiatives for its employees and other personnel that adopt and promote safety policies to decrease crashes by distracted drivers, including policies to ban text messaging while driving, and to encourage each subcontractor to do the same.

36. UNAVOIDABLE DELAYS

A. A delay is unavoidable only if the delay was not reasonably expected to occur in connection with or during the Contractor’s performance, and was not caused directly or substantially by acts, omissions, negligence, or mistakes of the Contractor, the Contractor’s suppliers or their agents, and was substantial and in fact caused the Contractor to miss delivery dates, and could not adequately have been guarded against by contractual or legal means.

B. Notification of Delays. The Contractor shall notify the Director of Procurement as soon as the Contractor has, or should have, knowledge that an event has occurred which will cause an unavoidable delay. Within five (5) days, the Contractor shall confirm such notice in writing, furnishing as much as detail as is available.

C. Request for Extension. The Contractor agrees to supply, as soon as such data is available, any reasonable proof that is required by the Director of Procurement to make a decision on any request for extension. The Director of
Procurement shall examine the request and any documents supplied by the Contractor and shall determine if the Contractor is entitled to an extension and the duration of such extension. The Director of Procurement shall notify the Contractor of its decision in writing.

D. It is expressly understood and agreed that the Contractor shall not be entitled to damages or compensation, and shall not be reimbursed for losses on account of delays resulting from any cause under this provision, except to the extent the Contractor’s delay was attributable to KCATA’s non-performance of its duties herein.

37. GENERAL PROVISIONS

A. No Third-Party Beneficiaries. The parties do not intend to confer any benefit hereunder on any person, firm or entity other than the parties hereto.

B. Extensions of Time. No extension of time for performance of any Contractor obligations or acts shall be deemed an extension of time for performance of any other obligations or acts.

C. Time of Essence. Time is of the essence in Contractor’s performance of this Agreement.

D. Time Periods. A “business day” is a business working day of KCATA administrative personnel which are days other than a Saturday, Sunday or legal holidays observed by the KCATA for administrative personnel. If the time period by which any right or election provided under this Contract must be exercised, or by which any act required hereunder must be performed, expires on a day which is not a business day, then such time period shall be automatically extended through the close of business on the next regularly scheduled business day.

E. Binding Effect. This Contract shall bind and inure to the benefit of the legal representatives, successors and permitted assigns of the parties.

F. Counterparts. This Contract may be executed at different times and in two or more counterparts and all counterparts so executed shall for all purposes constitute one contract, binding on all the parties hereto, notwithstanding that all parties shall not have executed the same counterpart. And, in proving this Contract, it shall not be necessary to produce or account for more than one such counterpart executed by the party against whom enforcement is sought.

G. Interpretation; Update of Citations. Unless otherwise specified herein, (a) the singular includes the plural and the plural the singular; (b) words importing any gender include the other genders; and (c) references to persons or parties include their permitted successors and assigns. The parties recognize and agree that many of the laws, regulations, policies, procedures and directives stated as governing the Contractor’s performance of its work or services, or the supplying of products, equipment, or materials, pursuant to this Contract are subject to updating, amendment or replacement. Therefore, all such references in this Contract are agreed by the parties to be deemed to refer to the then current updated, amended or replacement form of such laws, regulations, policies, procedures and directives stated as governing the Contractor’s performance of its work or services, or the supplying of products, equipment, or materials, pursuant to this Contract are subject to updating, amendment or replacement. Thereafter, all such references in this Contract are agreed by the parties to be deemed to refer to the then current updated, amended or replacement form of such laws, regulations, policies, procedures and directives stated as governing the Contractor’s performance of its work or services, or the supplying of products, equipment, or materials, pursuant to this Contract are subject to updating, amendment or replacement.

H. When Effective. Notwithstanding any provision contained in this Contract to the contrary, this Contract shall become effective only after the execution and delivery of this Contract by each of the parties hereto and no course of conduct, oral contract or written memoranda shall bind the parties hereto with respect to the subject matter hereof except this Contract.

I. Further Actions; Reasonableness and Cooperation by Parties; Time for Certain Actions. Each party agrees to take such further actions and to execute such additional documents or instruments as may be reasonably requested by the other party to carry out the purpose and intent of this Contract. Except where expressly stated to be in a party’s sole discretion, or where it is stated that a party has the ability to act in its sole judgment or for its own uses or purposes, wherever it is provided or contemplated in this Contract that a party must give its consent or approval to actions or inactions by the other party or a third party in connection with the transactions contemplated hereby, such consent or approval will not be unreasonably withheld or delayed. If no time period is set hereunder for a party to approve or consent to an action or inaction by the other party or a third party such approval shall be given or
affirmatively withheld in writing within ten (10) business days after it is requested in writing or it shall be deemed given.

J. **Survival.** In addition to any provisions expressly stated to survive termination of this Contract, all provisions which by their terms provide for or contemplate obligations or duties of a party which are to extend beyond such termination (and the corresponding rights of the other party to enforce or receive the benefit thereof) shall survive such termination.

K. **Authority of Signatories.** Any person executing this Contract in a representative capacity represents and warrants that such person has the authority to do so and, upon request, will furnish proof of such authority in customary form.

38. **FTA REQUIRED CLAUSES**

A. **Changes to Federal Requirements.** Contractor shall at all times be aware and comply with all applicable Federal Transit Administration regulations, policies, procedures and directives, including without limitation, those listed directly or by reference in the Agreement between the Authority and FTA (FTA MA (26) dated October 1, 2019), as they may be amended or promulgated from time to time during the term of this Contract. Contractors’ failure to so comply shall constitute a material breach of this Contract. Contractor agrees to include this clause in all subcontracts at any tier. It is further agreed that the clause shall not be modified, except to identify the subcontractors who will be subject to its provisions.

B. **Debarment and Suspension Certification.**


2. The Contractor, its principals and any affiliates, shall certify that it is not included in the “U.S. General Services Administration’s List of Parties Excluded from Federal Procurement or Non-procurement Programs,” as defined at 49 CFR Part 29, Subpart C.

3. The Contractor agrees to refrain from awarding any subcontract of any amount (at any tier) to a debarred or suspended subcontractor, and to obtain a similar certification from any subcontractor (at any tier) seeking a contract exceeding $25,000.

4. The Contractor agrees to provide KCATA a copy of each conditioned debarment or suspension certification provided by a prospective subcontractor at any tier, and to refrain from awarding a subcontract with any party that has submitted a conditioned debarment or suspension certification until FTA approval is obtained.

C. **Disadvantaged Business Enterprise (DBE).**

1. It is the policy of KCATA and the United States Department of Transportation (USDOT) that Disadvantaged Business Enterprises (DBE’s), as defined herein and in the Federal regulations published as 49 CFR Part 26, shall have an equal opportunity to participate in in DOT-assisted contracts. It is also the policy of KCATA to:

   a. Ensure nondiscrimination in the award and administration of DOT-assisted contracts;

   b. Create a level playing field on which DBE’s can compete fairly for DOT-assisted contracts;

   c. Ensure that the DBE program is narrowly tailored in accordance with applicable law;

   d. Ensure that only firms that fully meet 49 CFR Part 26 eligibility are permitted to participate as DBE’s;
e. Help remove barriers to the participation of DBE’s in DOT assisted contracts;

f. To promote the use of DBE’s in all types of federally assisted contracts and procurement activities; and

g. Assist in the development of firms that can compete successfully in the marketplace outside the DBE program.

2. This Contract is subject to the requirements of Title 49, Code of Federal Regulations, Part 26, Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs. The national goal for participation of Disadvantaged Business Enterprises (DBE’s) is 10 percent. **KCATA’s overall goal for DBE participation is 15 percent. A separate contract goal of zero percent (0%) has been established for this procurement.**

3. The Contractor shall not discriminate on the basis of race, color national origin, or sex in the performance of this Contract. The Contractor shall carry out applicable requirements of 49 CFR. Part 26 in the award and administration of this DOT-assisted contract. Failure by the Contractor to carry out these requirements is a material breach of this Contract, which may result in the termination of this Contract or such other remedy as KCATA deems appropriate. Each subcontract the Contractor signs with a subcontractor must include the assurance in this paragraph (see 49 C.F.R. 26.13(b)).

4. The Contractor may not substitute, remove or terminate a DBE subcontractor without KCATA’s prior written consent. Written consent of termination may only be given if the Contractor has demonstrated good cause. Before submitting its request to terminate or substitute a DBE subcontractor, the Prime Contractor must give notice in writing to the DBE subcontractor, with a copy to KCATA, of its intent to request to terminate and/or substitute, and the reason for the request. The Contractor must give the DBE five days to respond to the Contractor’s notice and advise KCATA and the Contractor of the reasons, if any, why it objects to the proposed termination of its subcontract and why KCATA should not approve the Contractor’s action. If required in a particular case as a matter of public necessity (e.g., safety), the response period may be shortened.

a. **Good Cause.** Good cause includes the following circumstances:

1) The listed DBE subcontractor fails or refuses to execute a written contract; or

2) The listed DBE subcontractor fails or refuses to perform the work to its normal industry standards. Provided, however, that the good cause does not exist if the failure or refusal of the DBE subcontractor to perform its work on the subcontract results from the bad faith or discriminatory action of the Prime Contractor; or

1. The listed DBE subcontractor fails or refuses to meet the Prime Contractor’s reasonable, nondiscriminatory bond requirements; or

2. The listed DBE subcontractor becomes bankrupt, insolvent, or exhibits credit unworthiness; or

3. The listed DBE subcontractor is ineligible to work on public works projects because of suspension and debarment proceedings pursuant to 2 CFR Parts 180, 215 and 1200 or applicable state law; or

4. The DBE subcontractor is not a responsible contractor; or

5. The listed DBE subcontractor voluntarily withdraws from the project and provides the Prime Contractor written notice of its withdrawal;
6. The listed DBE is ineligible to receive DBE credit for the type of work required;

7. A DBE owner dies or becomes disabled with the result that the listed DBE contractor is unable to complete its work on the contract;

8. Other documented good cause that compels KCATA to terminate the DBE subcontractor. Provided the good cause does not exist if the Prime contractor seeks to terminate a DBE it relied upon to obtain the contract so that the Prime Contractor can self-perform the work for which the DBE contractor was engaged or so that the Prime Contractor can substitute another DBE or non-DBE contractor.

b. Before submitting its request to terminate or substitute a DBE subcontractor, the Prime Contractor must give notice in writing to the DBE subcontractor, with a copy to KCATA, of its intent to request to terminate and/or substitute, and the reason for the request. The Prime Contractor must give the DBE five days to respond to the Prime Contractor’s notice and advise the KCATA and the Contractor of the reasons, if any, why it objects to the proposed termination of its subcontract and why KCATA should not approve the Prime Contractor’s action. If required in a particular case as a matter of public necessity (e.g., safety), the response period may be shortened.

D. Disclaimer of Federal Government Obligation or Liability. The Contractor, and any subcontractors acknowledge and agree that, notwithstanding any concurrence by the Federal Government in or approval of the solicitation or award of this contract, absent the express written consent by the Federal Government, the Federal Government is not a party to this contract and shall not be subject to any obligations or liabilities to the Contractor, or any other party (whether or not a party to this Contract) pertaining to any matter resulting from this Contract. It is further agreed that the clause shall be included in each subcontract and shall not be modified, except to identify the subcontractor who will be subject to its provision.

E. Environmental Regulations.

1. Clean Air. The Contractor agrees to comply with all applicable standards, orders, or regulations issued pursuant to the Clean Air Act, as amended, 42 U.S.C. §7401-7671q et seq. The Contractor agrees to report, and to require each subcontractor at every tier receiving more than $100,000 from this Contract to report any violation of these requirements resulting from any project implementation activity to KCATA. KCATA will in turn, report each violation as required to assure notification to FTA and the appropriate U.S. EPA Regional Office.

2. Clean Water. The Contractor agrees to comply with all applicable standards, orders, or regulations issued pursuant to the Federal Water Pollution Control Act, as amended, 33 U.S.C. § 1251-1387 et seq. The Contractor agrees to report, and require each subcontractor at every tier receiving more than $100,000 from this Contract to report, any violation of these requirements resulting from any project implementation activity to KCATA. The Contractor understands that KCATA will in turn, report each violation as required to assure notification to FTA and the appropriate U.S. EPA Regional Office

1. Energy Conservation. The Contractor agrees to comply with mandatory standards and policies relating to energy efficiency, which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act. The Contractor agrees to include the requirements of this clause in all subcontracts under this Contract.

F. Fraud and False or Fraudulent Statements or Related Acts.

1. The Contractor acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, as amended, 31 U.S.C. § 3801 et seq. and U.S DOT regulations, “Program Fraud Civil Remedies,” 49 CFR Part 31, apply to its actions pertaining to the Project. Upon execution of the Contract, the Contractor certifies and affirms the truthfulness and accuracy of any statement it has made, it makes, or may make pertaining to the project covered under this Contract. In addition to other penalties that may be applicable, the Contractor further acknowledges
that if it makes a false, fictitious, or fraudulent claim, statement, submission, or certification, the Federal
Government reserves the right to impose the penalties of the Program Fraud Civil Remedies Act of 1986 on the
Contractor to the extent the Federal Government deems appropriate.

2. The Contractor also acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim,
statement, submission, or certification to the Federal Government in connection with this Contract, the
Government reserves the right to impose on the Contractor the penalties of 18 U.S.C. § 1001 and 49 U.S.C. §
5307(n)(1), to the extent the Federal Government deems appropriate.

3. The Contractor agrees to include these clauses in each subcontract, and it is further agreed that the clauses shall
not be modified, except to identify the subcontractor who will be subject to the provisions.

G. **Incorporation of Federal Transit Administration Terms.** The provisions in this Contract include certain standard terms
and conditions required by the U.S. Department of Transportation (DOT), whether or not expressly set forth. All
contractual provisions required by DOT, as set forth in FTA Circular 4220.1F or any revision thereto, are hereby
incorporated by reference. Anything to the contrary herein notwithstanding, all FTA mandated terms shall be deemed
to control in the event of a conflict with other provisions contained in the Contract. Contractor shall not perform any act,
fail to perform any act, or refuse to comply with any KCATA requests that would cause KCATA to be in violation of the
FTA terms and conditions. The Contractor agrees to include this clause in all subcontracts at any tier. It is further agreed
that the clause shall not be modified, except to identify the subcontractors who will be subject to the provision.

H. **Lobbying Restrictions.**

1. The Contractor is bound by its certification contained in its offer to the Authority regarding the use of federal
or non-federal funds to influence, or attempt to influence any federal officer or employee regarding the
award, execution, continuation, or any similar action of any federal grant or other activities as defined in 31
to comply with this requirement throughout the term of the Contract.

2. The Contractor agrees to include these requirements in all subcontracts at all tiers exceeding $100,000 and
to obtain the same certification and disclosure from all subcontractors (at all tiers).

I. **National Intelligent Transportation System Architecture and Standards.** The contractor agrees to conform, to the
extent applicable, to the National Intelligent Transportation Systems (ITS) Architecture and Standards as required by
Architecture Policy on Transit Projects” 66 Fed. Reg. 1455, January 8, 2001, and any further implementing directives,
except to the extent FTA determines otherwise in writing.

J. **Patents, Rights in Data and Copyrights**

1. **Rights in Data.**

   a. The term "subject data" used in this clause means recorded information, whether or not
      copyrighted, that is delivered or specified to be delivered under the Contract. The term includes
      graphic or pictorial delineation in media such as drawings or photographs; text in specifications or
      related performance or design-type documents; machine forms such as punched cards, magnetic
      tape, or computer memory printouts, and information retained in computer memory. The term
      "subject data" does not include financial reports, cost analyses, and similar information incidental
      to contract administration.

   b. The following restrictions apply to all subject data first produced in the performance of the Contract:

      1) Except for its own internal use, Contractor may not publish or reproduce subject data in
         whole or in part or in any manner or form, nor may Contractor authorize others to do so,
without the written consent of KCATA, until such time as KCATA may have either released or approved the release of such data to the public.

2) In accordance with 2 C.F.R. part 200, Appendix II (F) and 37 C.F.R. part 401, the Federal Government reserves a royalty-free, non-exclusive and irrevocable license to reproduce, publish, or otherwise use, and to authorize others to use, the following subject data for "Federal Government purposes":

(a) Any subject data developed under the Contract, whether or not a copyright has been obtained; and

(b) Any rights of copyright purchased by KCATA or Contractor using Federal assistance in whole or in part provided by FTA.

3) "For Federal Government Purposes" means use only for the direct purpose of the Federal Government. Without the copyright owner’s consent, the Federal Government may not extend its Federal license to any other party. When FTA awards Federal assistance for experimental, developmental, or research work, it is FTA's general intention to increase transportation knowledge available to the public, rather than to restrict the benefits resulting from the work to participants in that work. Therefore, unless FTA determines otherwise, Contractor performing experimental, developmental, or research work, agrees to permit FTA to make available to the public, either FTA's license in the copyright to any subject data developed in the course of the Contract, or a copy of the subject data first produced under the Contract for which a copyright has not been obtained. If the experimental, developmental, or research work, which is the subject of the underlying Contract, is not completed for any reason whatsoever, all data developed under this Contract shall become subject data as defined previously and shall be delivered as the Federal Government may direct. This subsection, however, does not apply to adaptations of automatic data processing equipment or programs for the KCATA or Contractor’s use whose costs are financed in whole or part with Federal assistance provided by FTA for transportation capital projects.

4) Unless prohibited by state law, Contractor agrees to indemnify, save, and hold harmless KCATA and the Federal Government, its officers, agents, and employees acting within the scope of their official duties against any liability, including costs and expenses, resulting from any willful or intentional violation by the Contractor of proprietary rights, copyrights, or rights of privacy, arising out of the publication, translation, reproduction, delivery, use or disposition of any data furnished under this Contract. The Contractor shall not be required to indemnify the Federal Government for any such liability arising out of the wrongful act of any employee, official, or agents of the Federal Government.

5) Nothing contained in this clause on rights in data shall imply a license to the KCATA or to the Federal Government under any patent or be construed as affecting the scope of any license or other right otherwise granted to KCATA or to the Federal Government under any patent.

6) Data developed by the KCATA or Contractor and financed entirely without using Federal assistance provided by the Federal Government that has been incorporated into work required by this Contract to which this clause has been added is exempt from the requirements of subsections (b), (c), and (d) of this clause, provided that the KCATA or Contractor identifies that data in writing at the time of delivery of the contract work.

7) Contractor agrees to include these requirements in each subcontract for experimental, developmental, or research work funded in whole or in part with federal assistance.

2. Patent Rights. If any invention, improvement, or discovery of the Contractors is conceived or first actually reduced to practice in the course of work under this Contract, and that invention, improvement, or discovery is
patentable under the laws of the United States of America or any foreign country, the Contractor agrees to notify KCATA immediately and provide a detailed report, who in turn shall ultimately notify the FTA. Unless the Federal Government later makes a contrary determination in writing, the KCATA and Contractor agree to take the necessary actions to provide, through FTA, those rights in that invention due the Federal Government as described in U.S. Department of Commerce regulations, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," 37 CFR Part 401 and 35 U.S.C 2000 et seq.

Contractor’s Initials ________________________  KCATA’s Initials _____________________________

KCATA’s Initials ____________________________
ATTACHMENT C
KCATA’S TRAVEL POLICY FOR CONTRACTORS

Contractors will be reimbursed for authorized and documented expenses incurred while conducting KCATA business. Expenses for a traveler’s companion are not eligible for reimbursement. Contractors are expected to make prudent business decisions and comparison shop for airfares, rental cars, lodging, etc., and to keep in mind that they are being reimbursed with public monies.

Receipts, paid bills or other documentary evidence for expenditures must be submitted with requests for reimbursement. The request for reimbursement must clearly indicate the amount, date, place and essential character of the expenditures.

The KCATA reserves the right to modify this travel policy with proper notification to Contractors.

1. **Airfare**: Commercial airline, coach class seating only. When possible, trips should be planned far enough in advance to assure purchase discounts.

2. **Lodging**: The KCATA has negotiated special rates at specific hotels. Contractors may stay at the hotel of their choice but will be reimbursed no more than a maximum daily amount of $160.00 plus tax unless the contractor obtains prior written authorization from KCATA.

3. **Meals**: The actual costs of meals, including tips of generally 15-17%, will be reimbursed up to a maximum of $66 per person a day. Alcoholic beverages are not an eligible reimbursable expense.

4. **Auto Rental**: Rental or leased vehicles will not be reimbursed unless pre-approved in writing by KCATA in advance. The class of auto selected, if authorized, should be the lowest class appropriate for the intended use and number of occupants.

5. **Telephone**: Project-related, long-distance business calls will be reimbursed.

6. **Number of Trips to Travel Home on Weekends**: When extended stays in Kansas City are required, the KCATA will reimburse for trips home on weekends only every third weekend. In some instances, KCATA may require relocation of an employee to Kansas City.

7. **Taxis, Airport Shuttles, Public Transportation**: Transportation between the airport and hotel will be reimbursed. Contractors should consider the number in their party and compare taxi rates to airport shuttle fees when the shuttle serves the hotel.

8. **Personal Vehicle**: Mileage for usage of personal vehicles for business travel outside the seven-county Kansas City metropolitan area (Clay, Cass, Jackson and Platte Counties in Missouri; Johnson, Wyandotte and Douglas counties in Kansas) will be reimbursed at the current rate established by the Internal Revenue Service.
ATTACHMENT D.1
COST/PRICE PROPOSAL
RFP #F20-4020-14B
TRANSIT MASTER & AUTOMATED PASSENGER COUNTER UPGRADE

PROPOSERS SHALL COMPLETE THE ATTACHED PRICING TABLE(S) AND PROVIDE FIRM, FIXED PRICING NECESSARY TO MEET THE REQUIREMENTS OF THE RFP. THE QUANTITIES ARE ESTIMATES ONLY AND ACTUAL NUMBERS MAY CHANGE. PRICE MUST BE FAIR AND REASONABLE AND SHOULD INCLUDE ALL ITEMS OF LABOR, MATERIALS, AND OTHER COSTS NECESSARY TO PERFORM THE CONTRACT.

PROPOSAL RESPONSES SUBMITTED ON ANY OTHER FORM MAY BE CONSIDERED NON-RESPONSIVE AND THEREFORE REJECTED. THE AUTHORIZED PERSON SIGNING THE COST PRICE/PROPOSAL SHALL INITIAL ANY ERASURES, CORRECTIONS OR OTHER CHANGES APPEARING ON THIS FORM. NO WRITTEN COMMENTS, MODIFICATIONS, INTERLINEATIONS TO THIS FORM WILL BE ACCEPTED.

PRICE PROPOSAL: HARDWARE AND SOFTWARE

### PART A. HARDWARE

<table>
<thead>
<tr>
<th>Description (Model, Manufacturer, etc.)</th>
<th>Cost per Unit</th>
<th>Total One-Time Hardware Cost</th>
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TOTAL

### PART B. SOFTWARE

<table>
<thead>
<tr>
<th>Description (Module, Function, etc.)</th>
<th># of Licenses</th>
<th>Cost per License (if applicable)</th>
<th>Total One-Time Software Cost</th>
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TOTAL

### PART C. SOFTWARE MAINTENANCE & WARRANTY FEES

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<th>SOFTWARE</th>
<th>YEAR 1</th>
<th>YEAR 2</th>
<th>YEAR 3</th>
<th>YEAR 4</th>
<th>YEAR 5</th>
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<tr>
<td>Software Maintenance</td>
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<td>Warranty</td>
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<td>5 Year Total</td>
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</table>
PRICE PROPOSAL: INSTALLATION

<table>
<thead>
<tr>
<th>Installation</th>
<th>EST. HOURS</th>
<th>RATE PER HOUR ($)</th>
<th>TOTAL</th>
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<tbody>
<tr>
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</table>

TOTAL COSTS FOR INSTALLATION $
### PRICE PROPOSAL: OPTIONAL ITEMS OUTSIDE SCOPE OF WORK

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>QTY</th>
<th>COST</th>
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The undersigned, acting as an authorized agent or officer for the Offeror, does hereby agree to the following:

1. The offer submitted is complete and accurate, including all forms required for submission in accordance with the terms and conditions listed in this Request for Proposals and any subsequent Addenda. The offeror shall immediately notify the KCATA in the event of any change.

2. We hereby agree to provide the services on which prices are listed above and in accordance with the terms and conditions listed in the KCATA RFP.

Company Name (Type/Print) ___________________________________________ Date __________

Authorized Signature ______________________ Title __________________ Email Address __________

Name (Type/Print) ______________________ Telephone # ______________ Fax # ______________
ATTACHMENT E  
AFFIDAVIT OF CIVIL RIGHTS COMPLIANCE

STATE OF _____________________

COUNTY OF ___________________

On this _____ day of ______________, 20___, before me appeared _____________________, personally known by me or otherwise proven to be the person whose name is subscribed on this affidavit and who, being duly sworn, stated as follows:  I am the _____________________ (title) of ________________ (business entity) and I am duly authorized, directed or empowered to act with full authority on behalf of the business entity in making this affidavit.

I hereby swear or affirm that the business entity complies with the following:

A. **Nondiscrimination.** In accordance with Title VI of the Civil Rights Act, as amended, 42 U.S.C. § 2000d, section 303 of the Age Discrimination Act of 1975, as amended, 42 U.S.C. § 6102, section 202 of the Americans with Disabilities Act of 1990, 42 U.S. C. § 12132, and Federal transit law at 49 U.S.C. § 5332, the Contractor agrees that it will not discriminate against any employee or applicant for employment because of race, color, creed, age, sex, sexual orientation, gender identity, national origin or disability. In addition, the Contractor agrees to comply with applicable Federal implementing regulations and other implementing regulations that the Federal Transit Administration (FTA) may issue.

B. **Equal Employment Opportunity.** The following equal employment opportunity requirements apply to this Contract:

1. **Race, Color, Creed, National Origin or Sex.** In accordance with Title VII of the Civil Rights Act, as amended, 42. U.S.C. §2000e, et seq., and Federal transit laws at 49 U.S.C. §5332, the Contractor agrees to comply with all applicable equal opportunity requirements of the U.S. Department of Labor (U.S. DOL) regulations, “Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor” 41 C.F.R. Parts 60 et seq., (which implement Executive Order No. 11246, “Equal Employment Opportunity,” as amended by Executive Order No. 11375, “Amending Executive Order 11246 Relating to Equal Employment Opportunity,” 42 U.S.C. 2000e note), and with any applicable Federal statutes, executive orders, regulations, and Federal policies that may in the future affect construction activities undertaken in the course of the Contract. The Contractor agrees to take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, creed, age, sex, sexual orientation, gender identity or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.


______________________________
Affiant’s Signature

______________________________
Notary Public Signature

My Commission expires: ____________________________

Subscribed and sworn to me before this ________ day of ____________, 20___.

Contractor shall apply the following definitions to the categories in the attached Workforce Analysis/EEO-1 Report form. Contractors must submit the Workforce/Analysis form to be considered for contract award. The form is also required for all subcontractors.

A. RACIAL/ETHNIC

1. **White** (not of Hispanic origin): All persons having origins in any of the original peoples of Europe, North Africa, or the Middle East.

2. **Black** (not of Hispanic origin): All persons having origins in any of the Black racial groups of Africa.

3. **Hispanic**: All persons of Mexican, Puerto Rican, Cuban, Central or South American origin, regardless of race.

4. **ASIAN or PACIFIC ISLANDER**: All persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands. This area includes, for example, China, Japan, Korea, the Philippine Islands, and Samoa.

5. **AMERICAN INDIAN or ALASKAN NATIVE**: All persons having origins in any of the original peoples of North America, and who maintain cultural identification through tribal affiliation or community recognition.

B. JOB CATEGORIES

1. **OFFICIALS and MANAGERS**: Includes chief executive officers, presidents, vice-presidents, directors and kindred workers.

2. **Professionals**: Includes attorneys, accountants and kindred workers.

3. **Technicians**: Includes computer programmers and operators, drafters, surveyors, highway technicians, inspectors and kindred workers.

4. **Sales Workers**: Includes contract sales representatives, purchasing agents, customer relations representatives and kindred workers.

5. **OFFICE and CLERICAL**: Includes secretaries, bookkeepers, clerk typists, payroll clerks, accounts payable clerks, receptionists, switchboard operators and kindred workers.

6. **Craft Workers** (skilled): Includes mechanics and repairers, electricians, carpenters, plumbers and kindred workers.

7. **Operatives** (semi-skilled): Includes bricklayers, plaster attendants, welders, truck drivers and kindred workers.

8. **Laborers** (unskilled): Includes laborers performing lifting, digging, mixing, loading and pulling operations and kindred workers.

9. **Service Workers**: Includes janitors, elevator operators, watchmen, chauffeurs, attendants and kindred workers.
Report all permanent, temporary, or part-time employees including apprentices and on-the-job trainees. Enter the appropriate figures on all lines and in all columns. All blank spaces will be considered zero. This form is also required for subcontractors and major suppliers on a project.

<table>
<thead>
<tr>
<th>Job Categories</th>
<th>Number of Employees (Report employees in only one category)</th>
<th>Race/Ethnicity</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>Hispanic or Latino</td>
<td>Male</td>
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<tr>
<td></td>
<td>Male</td>
<td>Female</td>
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<td>A</td>
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<tr>
<td>Executive/Senior-Level Officials and Managers</td>
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<td>First/Mid-Level Officials and Managers</td>
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<td>Professionals</td>
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<td>Technicians</td>
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<td>Sales Workers</td>
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<td>Administrative Support Workers</td>
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<td>Craft Workers</td>
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<td>Operatives</td>
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<tr>
<td>Laborers and Helpers</td>
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<td>Service Workers</td>
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<td>TOTAL</td>
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<tr>
<td>PREVIOUS YEAR TOTAL</td>
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Signature of Certifying Official

Printed Name and Title

Date Submitted

Company Name

Address/City/State/Zip Code

Telephone Number/Fax Number
ATTACHMENT G-1
AFFIDAVIT OF PRIMARY PARTICIPANTS
COMPLIANCE WITH SECTION 285.500 RSMO, ET SEQ.
REGARDING EMPLOYEE ELIGIBILITY VERIFICATION

STATE OF _________________________
COUNTY OF _______________________

On this ______ day of ____________, 20_____, before me appeared __________________________________, personally known by me or otherwise proven to be the person whose name is subscribed on this affidavit and who, being duly sworn, stated as follows: I am the ______________________ (title) of ______________________ (business entity) and I am duly authorized, directed or empowered to act with full authority on behalf of the business entity in making this affidavit.

I hereby swear or affirm that the business entity does not knowingly employ any person in connection with the contracted services who does not have the legal right or authorization under federal law to work in the United States as defined in 8 U.S.C. §1324a(h)(3).

I hereby additionally swear or affirm that the business entity is enrolled in an electronic verification of work program operated by the United States Department of Homeland Security (E-Verify) or an equivalent federal work authorization program operated by the United States Department of Homeland Security to verify information of newly hired employees, under the Immigration Reform and Control Act of 1986, and that the business entity will participate in said program with respect to any person hired to perform any work in connection with the contracted services.

I have attached hereto documentation sufficient to establish the business entity’s enrollment and participation in the required electronic verification of work program. I shall require that the language of this affidavit be included in the award documents for all sub-contracts exceeding $5,000.00 at all tiers and that all subcontractors at all tiers shall affirm and provide documentation accordingly.

__________________________
Affiant’s signature

Subscribed and sworn to before me this __________ day of ________________, 20____

__________________________
Notary Public

My Commission expires: ____________________

NOTE: An example of acceptable documentation is the E-Verify Memorandum of Understanding (MOU) – a valid, completed copy of the first page identifying the business entity and a valid copy of the signature page completed and signed by the business entity, the Social Security Administration and the Department of Homeland Security. Firms may register at https://www.e-verify.gov/
AFFIDAVIT OF LOWER-TIER PARTICIPANT
COMPLIANCE WITH SECTION 285.500 RSMO, ET SEQ.
REGARDING EMPLOYEE ELIGIBILITY VERIFICATION

STATE OF _________________________
COUNTY OF _________________________

On this ________ day of ________________, 20____, before me appeared ___________________________, personally known by me or otherwise proven to be the person whose name is subscribed on this affidavit and who, being duly sworn, stated as follows: I am the _________________________ (title) of _____________________________ (business entity) and I am duly authorized, directed or empowered to act with full authority on behalf of the business entity in making this affidavit.

I hereby swear or affirm that the business entity does not knowingly employ any person in connection with the contracted services who does not have the legal right or authorization under federal law to work in the United States as defined in 8 U.S.C. §1324a(h)(3).

I hereby additionally swear or affirm that the business entity is enrolled in an electronic verification of work program operated by the United States Department of Homeland Security (E-Verify) or an equivalent federal work authorization program operated by the United States Department of Homeland Security to verify information of newly hired employees, under the Immigration Reform and Control Act of 1986, and that the business entity will participate in said program with respect to any person hired to perform any work in connection with the contracted services.

I have attached hereto documentation sufficient to establish the business entity’s enrollment and participation in the required electronic verification of work program. I shall require that the language of this affidavit be included in the award documents for all sub-contracts exceeding $5,000.00 at all tiers and that all subcontractors at all tiers shall affirm and provide documentation accordingly.

_________________________________
Affiant’s signature

Subscribed and sworn to before me this ________ day of ________________, 20____

________________________________________
Notary Public

My Commission expires: _______________________

NOTE: An example of acceptable documentation is the E-Verify Memorandum of Understanding (MOU) – a valid, completed copy of the first page identifying the business entity and a valid copy of the signature page completed and signed by the business entity, the Social Security Administration and the Department of Homeland Security. Firms may register at https://www.e-verify.gov/
ATTACHMENT H-1
CERTIFICATION OF PRIMARY PARTICIPANT REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS

The Primary Participant (applicant for an FTA grant or cooperative agreement, or potential Contractor for a major third party contract), _______________________________ certifies to the best of its knowledge and belief, that it and its principals:

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;

2. Have not within a three-year period preceding this bid, been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

3. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (2) of this certification; and

4. Have not within a three-year period preceding this application/bid had one or more public transactions (Federal, State or local) terminated for cause or default.

If the primary participant (applicant for FTA grant, or cooperative agreement, or potential third party Contractor) is unable to certify to any of the statements in this certification, the participant shall attach an explanation to this certification.

THE PRIMARY PARTICIPANT (APPLICANT FOR AN FTA GRANT OR COOPERATIVE AGREEMENT, OR POTENTIAL CONTRACTOR FOR A MAJOR THIRD-PARTY CONTRACT), _______________________________ CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION AND UNDERSTANDS THAT THE PROVISIONS OF 2 CFR PART 1200; 2 CFR PART 180; AND 49 CFR PART 29, SUPBART C ARE APPLICABLE THERETO.

____________________________________________________________________
Signature and Title of Authorized Official

____________________________________________________________________
Date
ATTACHMENT H-2
CERTIFICATION OF LOWER-TIER PARTICIPANTS REGARDING DEBARMENT, SUSPENSION, AND OTHER INELIGIBILITY AND VOLUNTARY EXCLUSION

The Lower Tier Participant (potential sub-grantee or sub-recipient under an FTA project, potential third party Contractor, or potential subcontractor under a major third party contract) certifies, by submission of this bid, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

If the Lower Tier Participant (potential sub-grantee or sub-recipient under an FTA project, potential third party Contractor, or potential subcontractor under a major third party contract) is unable to certify to any of the statements in this certification, such participant shall attach an explanation to this bid.

THE LOWER-TIER PARTICIPANT (POTENTIAL SUB-GRAANTEE OR SUB-RECIPIENT UNDER AN FTA PROJECT, POTENTIAL THIRD PARTY CONTRACTOR, OR POTENTIAL SUBCONTRACTOR UNDER A MAJOR THIRD-PARTY CONTRACT), certifies or affirms the truthfulness and accuracy of the contents of the statements submitted on or with this certification and understands that the provisions of 2 CFR PART 1200; 2 CFR PART 180; and 49 CFR PART 29, SUPBART C ARE APPLICABLE THERETO.

________________________________________
Signature and Title of Authorized Official

________________________________________
Date
ATTACHMENT I-1
CERTIFICATION OF PRIMARY PARTICIPANTS REGARDING RESTRICTIONS ON LOBBYING

I, __________________________________________ (Name and Title of Grantee Official or Potential Contractor for a Major Third Party Contract), hereby certify on behalf of __________________________________________ (Name of Grantee or Potential Contractor) that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

3. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance is placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352, 2 CFR § 200.450, 2 CFR Part 200 Appendix II (J) and 49 CFR Part 20. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Executed this _______ day of ___________________________ 20__

By __________________________________________________________________________

Signature of Authorized Official

_______________________________________________________________________________

Title of Authorized Official
ATTACHMENT I-2
CERTIFICATION OF LOWER-TIER PARTICIPANTS
REGARDING RESTRICTIONS ON LOBBYING

I, ____________________________________________ (Name and Title of Grantee Official or Potential Subcontractor under a Major Third Party Contract), hereby certify on behalf of ________________________________ (Name of Grantee or Potential Subcontractor) that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

3. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance is placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352, 2 CFR § 200.450, 2 CFR Part 200 Appendix II (J) and 49 CFR Part 20. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Executed this ______ day of __________________________, 20__.

By __________________________________________

Signature of Authorized Official

________________________________________

Title of Authorized Official
ATTACHMENT J
LETTER OF INTENT TO SUBCONTRACT
(To be completed for Each DBE Subcontractor on Project)

Project Number ____________________________________________________________

Project Title ______________________________________________________________

____________________________________ (“Prime Contractor”) agrees to enter into a contractual
agreement with ________________________________________ (“DBE Subcontractor”), who will
provide the following goods/services in connection with the above-referenced contract:

(Insert a brief narrative describing the goods/services to be provided. Broad categorizations (e.g.,
“electrical,” “plumbing,” etc.) or the listing of the NAICS Codes in which DBE Subcontractor is certified are
insufficient and may result in this Letter of Intent to Subcontract not being accepted.)

_______________________________________________________________________________________
_______________________________________________________________________________________
_______________________________________________________________________________________
_______________________________________________________________________________________

DBE Subcontractor is currently certified with the Missouri Regional Certification Committee (MRCC) to
perform in the capacities indicated herein. Prime Contractor agrees to utilize DBE Subcontractor in the
capacities indicated herein, and DBE Subcontractor agrees to work on the above-referenced contract in the
capacities indicated herein, contingent upon award of the contract to Prime Contractor.

____________________________________
Signature: Prime Contractor

____________________________________
Signature: DBE Subcontractor

____________________________________
Print Name

____________________________________
Print Name

____________________________________
Title Date

____________________________________
Title Date
ATTACHMENT K
KANSAS CITY AREA TRANSPORTATION AUTHORITY
SCHEDULE OF PARTICIPATION BY CONTRACTOR & SUBCONTRACTORS

KCATA Project # ______________________  Description: ____________________________  Date: ____________

Form must be submitted for each prospective offeror and submitted with proposal. Use additional forms if needed. NAICS codes are required for each firm. The list may be found at U.S. Small Business Administration’s website at http://www.sba.gov/content/small-business-size-standards

<table>
<thead>
<tr>
<th>Prime Contractor</th>
<th>Name and Address</th>
<th>Telephone No. Fax No.</th>
<th>Type of Work To Be Performed</th>
<th>NAICS Code</th>
<th>Value of Work</th>
<th>DBE % Participation</th>
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<tr>
<th>Participation by Subcontractor(s) and Major Suppliers - DBE &amp; Non-DBE</th>
<th>Name and Address</th>
<th>Telephone No. Fax No.</th>
<th>Type of Work To Be Performed</th>
<th>NAICS Code</th>
<th>Value of Work</th>
<th>DBE % Participation</th>
</tr>
</thead>
<tbody>
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TOTAL VALUE OF WORK $ ____________
TOTAL CONTRACT VALUE OF WORK (FROM BID FORM) $ ____________
TOTAL DBE PARTICIPATION $ ____________
TOTAL PERCENTAGE OF DBE PARTICIPATION ____________%

THE UNDERSIGNED WILL ENTER INTO A FORMAL AGREEMENT WITH THE SUBCONTRACTOR(S) FOR THE WORK LISTED ON THIS SCHEDULE.

Prime Contractor (Type/Print) ________________________________  Date _________________________

Authorized Signature __________________________________________  Title ________________________________

Name (Type/Print) __________________________________________  Telephone #/Fax # ____________________________